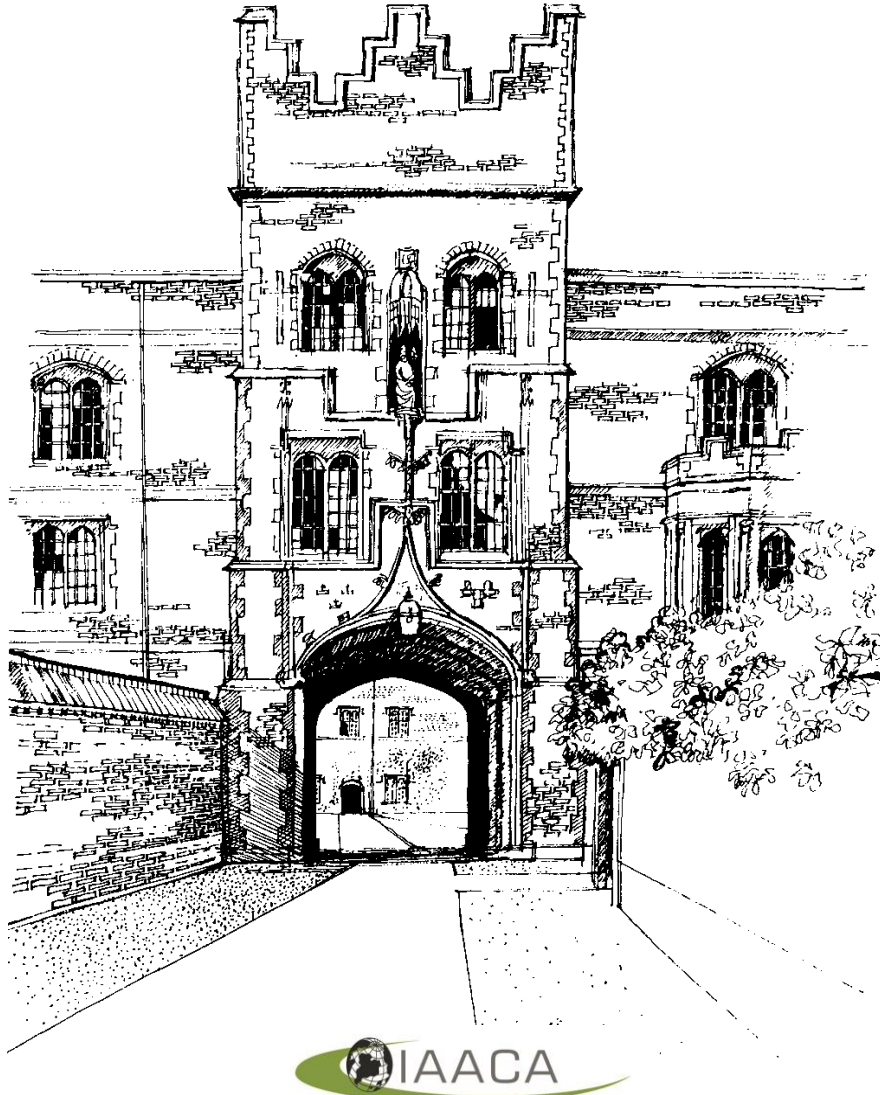


THIRTY-FIRST INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 1st SEPTEMBER - SUNDAY 8th SEPTEMBER 2013

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

Fighting Economic Crime in the Modern World The role of the private sector – partners and problems



THE INTERNATIONAL ASSOCIATION OF ANTI-CORRUPTION AUTHORITIES



SFO

serious
fraud
office



CIDOEC



UNIVERSITY OF CAMBRIDGE



The Organising Institutions

Centre of Development Studies, University of Cambridge
The Centre for International Documentation on Organised and Economic Crime
City of London Police UK, National Lead Force for Fraud and Economic Crime
The Institute of Advanced Legal Studies, University of London
Cass Business School, City University, City of London
The Society for Advanced Legal Studies
International Association of Anti-Corruption Authorities
The International Chamber of Commerce
International Anti-Corruption Academy
Centre for European and International Financial Law, University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
Nathanson Centre on Transnational Human Rights, Crime and Security, York University, Canada
IS Integrity Group, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
Saint Louis University, USA
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, University of Hong Kong
The Graduate School of Arts and Sciences, University of Tokyo
University of Cyprus
Argentine Federation of Judges
Faculty of Political Science, Department of European and International Studies
Centre for European and Comparative Studies, University of Palermo
International Compliance Association

In association with: Jesus College, University of Cambridge

The 31st Cambridge International Symposium on Economic Crime

Fighting Economic Crime in the Modern World – the role of the private sector – partners and problems

This year the Cambridge International Symposium on Economic Crime will celebrate its thirty-first year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that over the years it has enjoyed the support of so many institutions and experts around the world. It also attests to the deep concern of governments and financial and other institutions as to the extent of the risks to stability and security thrown up by economic crime and in particular organised crime. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding the real issues involved in preventing and controlling economically motivated serious crime. As a respected and trusted international forum and network, it has also made an impressive and meaningful contribution to fostering international co-operation and promoting mutual understanding and goodwill.

The Thirty-first annual symposium will focus on the issues that can arise from all perspectives, when, as they increasingly must, official agencies seek support from and collaborate with, the private sector in preventing, controlling and interdicting criminal and subversive activity. The near collapse of the financial sector, particularly in the west, has given rise to fundamental changes in the architecture of supervision and the content of regulation. It has also emphasised the resource and other limitations of traditional policing practices and models. The development of new, and in some cases unconventional, arrangements between law enforcement, regulatory bodies and those in the professions and business inevitably throws up a host of issues, which the symposium attempts to address through plenary sessions and specialised workshops in a practical, relevant and constructive manner.

Furthermore the regulatory and enforcement environment has changed in many jurisdictions as a result of the financial crisis and the parameters of responsibility and therefore liability have become even less clear. Increasingly responsibility is placed on those in supervisory positions to 'insure' the integrity of subordinates and others. These obligations themselves create the potential for new forms of civil and administrative liability. All contribute as very real risks to reputation and thus, stability and sustainability.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. Last year the symposium attracted well over 1,600 participants from over 100 countries.

The Thirty-first Symposium will focus on the identification and control of real and current threats to the financial system and in particular financial institutions from those who engage in self-dealing, corrupt practices and fraud or who assist and facilitate the crimes of others by laundering criminal property or evade taxation. Such threats, however, are complex and manifest themselves at many different levels. For example, considerable emphasis is placed on the problems that confront those who operate in the financial world, primarily as result of regulatory and enforcement actions designed to address specific criminal issues – such as the disruption of highly profitable crime. Our programme is designed by those working in enforcement, compliance and the financial sector with the deliberate intention of focussing on real and topical issues and providing, at a truly international level, if not always answers – better strategies and greater co-operation. In the result the programme provides a unique opportunity at a very practical level, to share the experiences of over 360 specialist speakers and panellists in the prevention and control of risk to the integrity and stability of the financial system and those who operate within it.

The programme is structured to provide a depth and breadth of opportunity - second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of interaction and collaboration that could only be encountered in an institution that has the highest world reputation for learning and research. The University of Cambridge collaborating with a host of distinguished institutions throughout the world offers a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It was established over thirty years ago to promote meaningful international co-operation – in the fight against financial crime. Its record is its best testimonial. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider
Founder, Director and Co-Chairman
Cambridge International Symposium on Economic Crime

Sunday, 1st September 2013

13:00 Registration in the Marquee, Jesus College, Cambridge

18:30 Cocktails in the Marquee

Dinner in Hall and Upper Hall, Jesus College

A civic welcome will be extended on behalf of the City of Cambridge by the **Mayor of Cambridge**, after-dinner addresses will be given by **Sir Paul Judge**, Alderman of the City of London, Director of the UK Accreditation Service and Benefactor of the Judge Business School, University of Cambridge, **Mr Saul Fromkin QC**, Chairman of the Symposium and the Committee of the Organising Institutions, Chairman of the Centre of International Documentation on Organised Crime Centre, former Attorney General and Solicitor General of Bermuda and Director of Criminal Law, Federal Government of Canada and currently Senior Litigation Counsel, ISIS Law Limited, Bermuda, and **Professor Barry A.K. Rider**, Founder, Director and Co-Chairman of the Symposium, Professorial Fellow, Centre of Development Studies, University of Cambridge, Professor of Comparative Law, Renmin University, PRC, Master of the Bench of the Inner Temple and former Director of the Institute of Advanced Legal Studies, University of London and sometime Fellow of Jesus College, Cambridge introduced by **Colonel Robert Murfin DL**, Clerk of the Worshipful Company of Pattenmakers of the City of London

Monday, 2nd September 2013

08:00 **Keynote Addresses**

Chair: **Mr Saul M Fromkin QC**, Symposium Chairman

- **The Rt Hon Mr Dominic Grieve PC, QC, MP**, HM Attorney General for England and Wales
- **The Hon Professor G L Peiris MP SC**, Minister of External Affairs, Government of Sri Lanka, former Minister of Justice and Constitutional Affairs and Deputy Minister of Finance, Sri Lanka and former Vice-Chancellor, University of Colombo, Sri Lanka
- **The Hon Justice I Auta**, Chief Justice, Federal High Court, Nigeria
- **The Hon Justice Yoram Danziger**, Justice of the Supreme Court of Israel
- **The Hon Judge Frederik Brand**, Judge of the High Court of South Africa and Professor Extraordinarius, Faculty of Law, University of the Free State, Republic of South Africa
- **The Hon Mrs Judith Jones-Morgan**, Attorney General, St Vincent and the Grenadines
- **The Hon Worgu Boms**, Attorney-General of River State, Nigeria
- **The Hon Dr Ricardo Casal**, Minister of Justice, Province of Buenos Aires, Argentina
- **Mrs Emily Thornberry MP**, Shadow Attorney General for HM Loyal Opposition, UK
- **Sir Paul Judge**, Alderman of the City of London, Director of the UK Accreditation Service and Benefactor of the Judge Business School, University of Cambridge, UK
- **Mr Nobuo Inada**, Director General, Criminal Affairs Bureau, Ministry of Justice, Japan
- **Mr David Green QC**, Director, Serious Fraud Office of England, Wales and Northern Ireland
- **Mr Adrian Leppard**, Commissioner, City of London Police, UK
- **Mr James Barnacle**, Supervising Special Agent and Head, Economic Crime Unit, Federal Bureau of Investigation, Justice Department, USA
- **Ms Eva Hakansson Frojelin**, Director General, Ekobrottsmyndigheten (Economic Crime Authority), Sweden

Coffee

Keynote Addresses (continued)

Chair: **Mr Saul M Fromkin QC**, Symposium Chairman

- **Dr Ye Feng**, Secretary General, International Association of Anti-Corruption Authorities, Vice President, International Association of Prosecutors and Director General, Supreme People's Procuratorate of the People's Republic of China
- **Ms Jennifer Shasky Calvery**, Director, Financial Crimes Enforcement Network (FinCen), Department of Treasury, USA
- **Mr Rob Wainwright**, Director, Europol, The Hague, The Netherlands
- **Ms Michéle Coninx**, President, Eurojust, The Hague, The Netherlands
- **Mr Martin Kreutner**, Chair, International Transition Team, The International Anti-Corruption Academy and President, European Partners Against Corruption, Austria
- **Dr Abdullahi Shehu**, Director General of the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA), Economic Community of West African States (ECOWAS)
- **The Hon Mr William Frei**, Chargé de Relations to the European Parliament, Mission of Switzerland to the European Union, Belgium
- **Professor Mads Andenas**, UN Human Rights Mandate Holder, Member of UN Working Group against Arbitrary Detention, Professor of Law at the Universities of Oslo and Leicester, Senior Research Fellow at the University of Oxford and the IALS, and Master of the Bench of the Inner Temple and former Director of the Norwegian Centre for Human Rights and Director of the British Institute of International and Comparative Law

- **Dr Frank Madsen**, Von Hugel Institute, St. Edmund's College, Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former senior official, General Secretariat, ICPO-Interpol
- **Professor Datuk Rifaat Ahmed Abdel Karim**, former Secretary-General, Islamic Financial Services Board and Research Professor, International Center for Education in Islamic Finance (INCEIF), Malaysia
- **Professor Margaret Beare**, Professor of Law and Sociology and former Director, Nathanson Centre on Transnational Human Rights, Crime and Security, Faculty of Law, York University, Toronto, Canada
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority, Hong Kong SAR, China

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 Group Photograph, Jesus College

14:30 **Session I: Does economic crime really matter in the world of today?**

Chair: **Mr Michael Ashe QC SC**, 9 Stone Buildings London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple

- **The Hon Mr Reginald Rhoda QC**, HM Attorney General, Gibraltar
- **Mr Stephen Head**, Commander, City of London Police, UK
- **Mrs Rosalind Wright**, Chair, Fraud Advisory Panel, Member of the Supervisory Committee, European Anti-Fraud Office, Master of the Bench of the Middle Temple and former Director of the Serious Fraud Office of England, Wales and Northern Ireland
- **Mr David Johnston**, Head, The National Technical Assistance Centre (NTAC), UK and former Commander, Special Operations, Metropolitan Police, UK
- **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria
- **Mr Indi Seehra**, Director of Human Resources, University of Cambridge and Fellow of the Judge Business School, University of Cambridge and formerly of the Crown Prosecution Service for England and Wales and Serious Organised Crime Agency, UK
- **Dr Tatsuya Sakuma**, Director, United Nations Asia and Far East Institute (UNAFEI), Japan
- **Mr John Jeremie SC**, Head, Faculty of Law, University of the West Indies St Augustine, Trinidad and Tobago and former Attorney General and High Commissioner for Trinidad and Tobago to the United Kingdom
- **Mr Richard Weber**, Chief, Criminal Investigation Division, Internal Revenue Service, USA
- **Ms Bridget Brennan**, Special Prosecutor for Narcotics, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Dr Michael Taylor**, Member of the Secretariat, Financial Stability Board, Switzerland
- **Professor Mike Levi**, Professor of Criminology, Cardiff University, UK
- **Dr Peter German**, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada
- **Adv Xolisile Khanyile**, Director of Public Prosecutions, Free State, Republic of South Africa
- **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Transnational Crime, University of Trento, Italy
- **Ms Sue Patten**, Head of Central Fraud Group, Crown Prosecution Service for England and Wales
- **Professor Hans Geiger**, Emeritus Professor of Banking, Swiss Banking Institute, University of Zurich, Switzerland

Tea

Session I: continued

Chair: **Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP University College, London, UK

- **Mr Alun Milford**, General Counsel, Serious Fraud Office of England, Wales and Northern Ireland
- **Ms Tracy Paradise**, Executive Secretary, The Wolfsberg Group and Senior Compliance Officer, HSBC Private Bank Holdings, Geneva, Switzerland
- **Mr John Harris**, Director General, Jersey Financial Services Commission
- **Professor Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow, Russia
- **Dr Massimo Nardo**, Co-ordinator, Organised and Economic Crime, National Financial Intelligence Unit, Banca d'Italia, Italy
- **Professor Peter Williamson**, Professor of International Management, Judge Business School, University of Cambridge, and Professorial Fellow of Jesus College, Cambridge, UK
- **Mr Stefan Lind**, Enforcement Officer, Swedish Enforcement Agency, Sweden
- **Dr Shailaja Fennell**, Lecturer and Assistant Director, Centre of Development Studies, University of Cambridge and Fellow of Jesus College, Cambridge, UK
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

- **Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales and member of HM Treasury's Money Laundering Advisory Committee, UK
- **Professor Mario Serio**, Professor of Comparative Law, University of Palermo, Italy and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Italy
- **Mr Robert Lee**, Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong SAR, China
- **Professor Jackie Harvey**, Professor of Professional Management, Newcastle Business School, Northumbria University and Consultant to the Financial Services Authority, UK
- **Dr George Gilligan**, Senior Research Fellow, Centre for Law, Markets and Regulation, Faculty of Law, University of New South Wales; and Senior Research Fellow, Centre for Corporate Law and Securities Regulation, Melbourne Law School, University of Melbourne, Australia
- **Dr Romesh Bhatnagar**, former Narcotics Commissioner for India, New Delhi, India
- **Professor Russell Smith**, Principal Criminologist, Manager, Global, Economic and Electronic Crime Program, Australian Institute of Criminology, Canberra, Australia
- **Mr Aedit Abdullah SC**, Chief Prosecutor, Attorney General Chambers, Singapore
- **The Hon Dr Marcelo Martinez de Giorgi**, Federal Judge, Argentina

PARALLEL PLENARY WORKSHOP I

The role of Public Private Partnership in protecting Society

Mr Tobias Masterton, Executive Director and Co-Founder, International Risk Register, Managing Director, and Founder, The Adenium Group and Visiting Research Fellow, King's College, London, UK

Sir Paul Judge, Alderman of the City of London, Director of the UK Accreditation Service and Benefactor of the Judge Business School, University of Cambridge

Mr Jeremy Carver, President, International Law Association (UK), Senior Adviser, Transparency International (UK) and former Partner, Clifford Chance LLP, UK

Sir Stewart Eldon, Senior Adviser on Defence and Security to Transparency International and former United Kingdom Ambassador to NATO

Ms Hadeel Ibrahim, Director of Strategy and External Relations, Mo Ibrahim Foundation, UK

Mr Eric-Vincent Guichard, Founder and Chief Executive Officer, Gravitas Capital and Chief Executive Officer, Homestrings.com, USA

Mr Badr Jadar, President, Crescent Petroleum and Founder, Pearl Initiative, United Arab Emirates

Mr Steve Trent, Executive Director, Environmental Justice Foundation, UK

Dr Andrew Venter, Chief Executive, Wildlands Conservation Trust (South Africa) and Senior Associate, Cambridge Programme for Sustainable Leadership(CPSL)

Mr Christian Gladwell, Founder and Chief Executive, Human Digital, UK

18:45

Cocktails in the Marquee

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **The Rt Hon Sir David Wootton PC**, Alderman of the City of London, former Lord Mayor and Sheriff of the City of London and Partner, Allen and Overy, UK and **The Lord Davidson of Glen Clova QC**, HM Loyal Opposition's Spokesperson for the Law Officers of Scotland and former Advocate General of Scotland introduced by **Colonel Robert Murfin DL**, Clerk of the Worshipful Company of Pattenmakers of the City of London, with a vote of thanks proposed by **Dr Marcelo Ruiz**, Senior Partner, Canguero Ruiz Abogados and former Minister Counsellor, Argentine Embassy, UK

Tuesday, 3rd September 2013

08:00

Session II: New risks and persistent threats to financial stability and security

Chair: **Mr Alun Milford**, General Counsel, Serious Fraud Office of England, Wales and Northern Ireland and former Head, Organised Crime Division, Crown Prosecution Service for England and Wales

- **Mr David Johnston**, Head, The National Technical Assistance Centre (NTAC), UK and former Commander, Special Operations, Metropolitan Police, UK
- **Mr David Szuchman**, Executive Assistant District Attorney and Chief of the Investigation Division, New York County District Attorney's Office, USA
- **Dr Marcelo Ruiz**, Senior Partner, Canguero Ruiz Abogados and former Minister Counsellor, Argentine Embassy, UK
- **Mr Rory Field**, Director of Public Prosecutions, Bermuda and former Legal Advisor (Organised Crime), OECD and OSCE (Serbia)
- **Mr Hector Colon**, Unit Chief, Illicit Finance and Proceeds of Crime Unit, Investigations Financial, Narcotics and Special Operations Division, ICE, Homeland Security, USA
- **Mr Simon McArdley**, Acting Chief Executive of the Serious Fraud Office of New Zealand
- **Professor Zenón Biagosch**, President, Fides Net, Director, Business School, Pontifical Catholic University, Argentina and former Vice President, Central Bank of Argentina
- **Ms Catherine Ahn**, Office of the General Counsel, Department of Treasury, USA
- **Colonel Grant Newsham**, Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd

- **Mr Sean O'Malley**, Assistant Vice President and Deputy Chief Investigator, Enforcement Division, Federal Reserve Bank of New York, USA
- **Mr Ben Fletcher**, Director, Insurance Fraud Bureau, UK
- **Mr Richard Collins**, Head of Risk Management, Wood MacKenzie, UK
- **Mr Paul Ho**, Assistant Director of Public Prosecutions, Department of Justice, Hong Kong SAR, China
- **Mr Albert Tellechea**, Partner, Holland and Knight LLP, USA
- **Mr Barry Faudemer**, Director of Enforcement, Jersey Financial Services Commission
- **Mr Serhan Göktürk**, Chief Auditor, Istanbul Stock Exchange, Turkey

PARALLEL PLENARY WORKSHOP II

Organised crime-yesterday - The legal and financial issues

Convenor: Mr William Tupman, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK
The Hon Guiseppe Lumia, Member of Italian Senate and former President of Italian Parliamentary Anti-Mafia Commission, Italy

Mr Jyoti Trehan, former Inspector General of Police, Indian Police Service

Professor Leonid Fituni, Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow
Professor George Millard, Partner, Performance Global Risk Management and Security Consultants, Professor, Sao Paulo Police Academy, President, International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil

Mr Michael Woodiwiss, Senior Lecturer, University of the West of England, UK

Dr Domitilla Vanni di San Vincenzo, Lecturer in Comparative Law, University of Palermo, Italy

Dr Li Xuebin, Ministry of Justice, UK and former Public Security Bureau, People's Republic of China

Counsellor Carina O'Reilly, Editor, Janes Intelligence Review and Researcher, Anglia Ruskin University, Cambridge UK

Dr George Gilligan, Senior Research Fellow, Centre for Law, Markets and Regulation, Faculty of Law, University of New South Wales; and Senior Research Fellow, Centre for Corporate Law and Securities Regulation, Melbourne Law School, University of Melbourne, Australia

Coffee

Session III: Placing the burden on those who mind other people's money – banks in the frontline!

Chair: **Mr Roger Stewart QC**, 4 New Square, Recorder of the Crown Court of England and Wales, and Master of the Bench of the Inner Temple, UK

- **Ms Polly Greenberg**, Chief, Major Crimes Bureau, New York County District Attorney's Office, USA
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority, Hong Kong SAR, China
- **Mr Ian M. Comisky**, Partner, Blank Rome LLP, Philadelphia and former Assistant US Attorney Southern District of Florida, USA
- **Mr Anthony Belchambers**, Chief Executive, Futures and Options Association, UK
- **Mr Martin Saunders**, Partner, Clifford Chance LLP, UK
- **Professor Dayanath Jayasuriya**, President's Counsel, Visiting Professor of Law, University of the Free State, Republic of South Africa; Former Chairman, Securities and Exchange Commission of Sri Lanka
- **Mr Matt Allen**, Head of Policy, British Bankers' Association, UK
- **Ms Niamh Mulholland**, Markets and Stockbroking Supervision Division, Central Bank of Ireland
- **Mr Gregory Coleman**, Special Agent, Federal Bureau of Investigation, USA
- **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Dr Miguel Almeyra**, Director, Judicial Reform Agency, Argentina
- **Mr Adam Kaufmann**, Partner, Lewis, Baach, Kaufmann and Middlemiss, former Executive Assistant District Attorney and Chief of the Investigation Divisions, New York County District Attorney's Office, USA
- **Mr Tyler Hodgson**, Counsel, Borden Ladner Gervais LLP and former Chief Legal Officer, Dubai First International, Dubai
- **Ms Julie Beesley**, Group Head of AML/CTF and Sanctions, Westpac Bank, Australia

PARALLEL PLENARY WORKSHOP III

Organised crime-today - The legal and financial challenges

Convenor: Mr William Tupman, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK
The Hon Judge Antonio Balsamo, Judge of the Court of Cassation, Italy and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and former Judge of the Court of Assizes, Palermo, Sicily, Italy

Dr Shazeeda Ali, Technical Advisor, and former Assistant Attorney General, Jamaica and Senior Lecturer in Law, University of the West Indies, Jamaica

Dr Fábio Ramazzini Bechara, Public Prosecutor and Head of the Special Anti-Organised Crime Group, Ministry of Justice for the State of Sao Paulo, Brazil

Mr David Fitzpatrick, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong

SAR, China

Dr Peter German, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada

Ms Li-Hong Xing, Programme Director in Chinese Law and Lecturer in Law, BPP University College, London, UK

Dr Felia Allum, Co-Convenor, Standing Group on Organised Crime University of Bath

Mr Nicholas Msheliza, Security Manager, Nigerian Port Authority, Nigeria

Dr Yuliya Zabyelina, Lecturer in International Relations, Masaryk University, Czech Republic

Ms Anita Lavorgna, Senior Research, University of Trento, Italy

Dr Marcelo Colombo, Federal Prosecutor, Argentina

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 **Session IV: New approaches and structures in combating economic crime**

Chair: **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Transnational Crime, University of Trento, Italy

- **The Lord Davidson of Glen Clova QC**, HM Loyal Opposition's Spokesperson for the Law Officers of Scotland and former Advocate General of Scotland
- **Mr Paul Lachal Roberts**, Advisor to the Director-General, European Commission Anti-Fraud Office, Brussels
- **Dr Fábio Ramazzini Bechara**, Public Prosecutor and Head of the Special Anti-Organised Crime Group, Ministry of Justice for the State of Sao Paulo, Brazil
- **Mr Stephen Head**, Commander, City of London Police, UK
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Professor Jonathan Fisher QC**, Barrister, Devereux Chambers, London, UK and Professor of Law, London School of Economics, University of London, UK
- **Colonel Mario Imparato**, Head, Guardia di Finanza, Province of Avellino, Italy
- **Mr David Kirk**, Chief Criminal Counsel, Enforcement and Financial Crime, Financial Services Authority, UK
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Mr Graeme Millar**, National Terrorist Financial Investigation Unit, UK
- **Ms Kristin Jones**, Head of Strategic Relations, Policy, Assurance and International Relations, Serious Fraud Office of England, Wales and Northern Ireland
- **Mr Rune Grundekjon**, Special Advisor and Controller, Finanstilsynet (Financial Services Authority), Norway
- **Dr Agustin Gamboa**, Director General of Criminal Policy, Ministerio Publico Fiscal CABA, Argentina
- **Mr Joby Carpenter**, Head, Strategic Delivery, National Fraud Authority, UK
- **Mr Kenneth Murray**, Head of Forensic Accountancy, Scottish Crime and Drug Enforcement Agency, UK
- **Mr Rob McCusker**, Director, Centre for Fraud and Financial Crime, Teesside University Business School, UK

PARALLEL PLENARY WORKSHOP IV

Organised crime- tomorrow - The legal and financial issues

Convenor: Mr William Tupman, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK

Hon Dr Fabio Licata, Judge at the Court of Palermo, Special Section for Anti-Mafia Preventive Measures, Part Time Professor of Criminal Law at the University of Palermo, Italy

The Hon Mr Diego Garcia Berro, Judge of the Criminal Tribunal for Taxation, Argentina

Dr Lindsay Clutterbuck, Director, Rand Corporation, UK

Mr Chris Conroy, Principal Deputy Chief, Major Crimes Bureau New York County District Attorney's Office, USA

Colonel Grant Newsham, Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd

Dr Shima Keene, Director, Security Economics Programme, Institute of Statecraft, UK

Dr Ludo Block, Director, Grant Thornton Forensic and Investigation Services, The Netherlands

Ms Inessa Allen, Consultant (Russia), UK

Professor Dayanath Jayasuriya, President's Counsel, Visiting Professor of Law, University of the Free State, Republic of South Africa; Former Chairman, Securities and Exchange Commission of Sri Lanka

Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

Ms Anna Sergi, Researcher, Centre for Criminology, University of Essex, UK

Dr Helen Carrapico, Newton International Fellow, University of Dundee, UK

Mr Mike Dixon, Anglia Ruskin University, Cambridge and Former Detective Inspector Metropolitan Police London

Mr Falko Ernst, Researcher, University of Essex, UK

Mr Sam Sittlington, Director, The Fraud Company Ltd, UK

PLENARY WORKSHOP V

Private public partnerships to combat tax evasion and money laundering - The U.S. perspective

Mr Ian M. Comisky, Partner, Blank Rome LLP, Philadelphia and former Assistant US Attorney, Southern District of Florida, USA

Mr Robert S. Fink, Partner, Kostelanetz & Fink, LLP, USA

Mr Larry A. Campagna, Chamberlain, Hrdlicka, White, Williams & Aughtry, Houston, USA

Ms Paula M. Junghans, Partner, Zuckerman Spaeder LLP and former Assistant Attorney General, U.S. Department of Justice, Tax Division, USA

Mr Nathan J. Hochman, Partner, Bingham McCutchen LLP and former Assistant Attorney General, U.S. Department of Justice, Tax Division, USA

PLENARY WORKSHOP VI

Governance and compliance - the thin red line!

Mr George Littlejohn, Senior Adviser, Chartered Institute for Securities & Investment, UK

Professor Michael Mainelli, Executive Chairman of Z/Yen Group

Mr Anthony Belchambers, Chief Executive, Futures and Options Association, UK

PLENARY WORKSHOP VII

Why invent if the idea will be stolen? Protecting ideas and revenue creation in the global market place

Co-ordinator: Mr Paul Barnard, Detective Superintendent, City of London Police, UK

Mr Anthony Crampton, Detective Superintendent, City of London Police, UK

Other speakers to be confirmed

WORKSHOP 1 – 17:30-18:30

Criminals and Bankers – the enemy within or outside?

Professor Jackie Harvey, Professor of Professional Management, Newcastle Business School, Northumbria University and Consultant to the Financial Services Authority, UK

Mr Sam Sittlington, Director, The Fraud Company Ltd, UK

WORKSHOP 2 – 17:30-18:30

Russia's accession to the WTO: The implications

Professor Leonid Fituni, Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow

Professor Irina Abramova, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow, Russia

WORKSHOP 3 – 17:30-18:30

Corporate Social Responsibility – the real issues!

Dr Lez Rayman-Bacchus, Director, Centre for Corporate Responsibility, London Metropolitan University Business School, UK

Dr Shirley Quo, Senior Lecturer, Law School, Faculty of Business and Law, Auckland University of Technology, New Zealand

Ms Catherine Pedamon, Lecturer, School of Law, University of Westminster, London, UK, Director of the Franco British Lawyers Association and a member of the Paris and New York Bars

Ms Ji Long Qui, Consultant, Shanghai, People's Republic of China

Professor Jim Fisher, Shaughnessy Fellow, Emerson Ethics Center and Chair, Department of Marketing, John Cook School of Business, Saint Louis University, USA

Dr Mariano Cuneo Libarona, Senior Partner, Estudio Cuneo Libarona, Argentina

WORKSHOP 4 – 17:30-18:30

Dealing with securities and insurance fraud in developing countries

Professor Dayanath Jayasuriya, President's Counsel, Visiting Professor of Law, University of the Free State, Republic of South Africa; Former Chairman, Securities and Exchange Commission of Sri Lanka

Mr Malik Cader, former Director General, Securities and Exchange Commission of Sri Lanka

Mr Prakash Schafter, Managing Director, Janashakthi Insurance PLC, Sri Lanka

Dr Siti Faridah, Associate Professor in Law, National University of Malaysia

Dr Adri du Plessis, Senior Lecturer, Faculty of Law, University of the Free State, Republic of South Africa

Mr Adeoye Adetunji, Researcher, Institute of Advanced Legal Studies, University of London, UK

Ms Ye Zhen, Researcher, Centre of Development Studies, University of Cambridge, UK

Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London, UK

<p><u>WORKSHOP 5 – 17:30-18:30</u></p> <p>The Role of Auditors</p> <p>Mr Martin Robinson, Education and Training Consultant, Fraud Advisory Panel, UK</p> <p>Other speakers to be confirmed</p>	<p><u>WORKSHOP 6 –17:30-18:30</u></p> <p>Companies and politics: when does legitimate influence become corruption?</p> <p>Mr Robert Barrington, Executive Director, Transparency International UK</p> <p>Other speakers to be confirmed</p>
<p><u>WORKSHOP 7 –17:30-18:30</u></p> <p>The implications of robust tax investigations on international cooperation and comity</p> <p>Professor Hans Geiger, Emeritus Professor of Banking, Swiss Banking Institute, University of Zurich, Switzerland</p> <p>Professor Jim Gilsinan, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University, USA</p> <p>Dr Anton Keller, The Secretary, Swiss Investors Protection Association, Switzerland</p> <p>Professor Gilbert Morris, Chief Economist, Caribbean Basin Review</p> <p>Dr Nigam Nuggehalli, Principal Lecturer in Law, BPP University College, London, UK</p> <p>Ms Shenshen Lin, Tax Advisor, Customs, Excise and International Trade, KPMG LLP, UK</p>	<p><u>WORKSHOP 8 –17:30-18:30</u></p> <p>What to do when you find the BIG problem. A multi-perspective approach to containment and control</p> <p>Mr Adam Kaufmann, Partner, Lewis, Baach, Kaufmann and Middlemiss, former Executive Assistant District Attorney and Chief of the Investigation Divisions, New York County District Attorney’s Office, USA</p> <p>Ms Polly Greenberg, Chief, Major Crimes Bureau, New York County District Attorney’s Office, USA</p> <p>Mr Sean O’Malley, Assistant Vice President and Deputy Chief Investigator, Enforcement Division, Federal Reserve Bank of New York, USA</p> <p>Mr James Sidwell, Partner, Lawrence Graham LLP, UK</p>

19:00 **Cocktails** in the Marquee,
Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **The Rt Hon Lord Peter Goldsmith PC, QC**, Partner, Debevoise & Plimpton LLP and former Attorney General of England and Wales, **Mr William Hughes** the former Director-General of the Serious Organised Crime Agency, UK and **Mr Richard Regan**, Deputy and Member of the Common Council of the City of London, Head of Investments, Association of British Insurers and former Sheriff and Chief Commoner of the City of London UK introduced by **Mr Mark Blandford-Baker**, Home Bursar and Fellow, Magdalene College, University of Oxford with a vote of thanks proposed by **Mr Dominic Gibbs**, Chief Operating Officer, The Cayzer Trust Company Limited and the Institute of Advance Legal Studies, University of London

Wednesday, 4th September 2013

07:00

BREAKFAST-PLENARY WORKSHOP VIII

How to Identify & Manage Money Laundering Risk
(My Secret Life Laundering for the Underworld)

Mr Robert Mazur, President, Chase and Associates and former undercover investigator, USA

08:00

Session V: Fraud and Abuse – the new players in town

Chair: **Mr Richard Regan**, Deputy and Member of the Common Council of the City of London, Head of Investments, Association of British Insurers and former Sheriff and Chief Commoner of the City of London

- **Dr Patrick Hardouin**, Consultant, International Security and Business, Paris and former Assistant Secretary General, NATO
- **Mr Paul Lachal Roberts**, Advisor to the Director-General, European Commission Anti-Fraud Office, Brussels
- **Mr Tom Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Ms Anne Sheedy**, Head of Financial Crime and Strategic Intelligence, CIFAS – The UK’s Fraud Prevention Service, UK
- **Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada
- **Ms Helen Hatton**, Managing Director, Sator Regulatory Consulting Limited and former Deputy Director, Jersey Financial Services Commission
- **Dr Joey Wang**, Director, International Operations Division, Investigation Bureau, Ministry of Justice Taiwan
- **Mr Robin Dyer**, Head of Compliance, Bermuda Commercial Bank Ltd, Bermuda
- **Professor George Millard**, Partner, Performance Global Risk Management and Security Consultants, Professor, Sao Paulo Police Academy, President, International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil
- **Mr Henry Rossbacher**, Partner, The Rossbacher Firm, Los Angeles, USA

- **Mr Langston Sibblies QC**, General Counsel and Deputy Managing Director, Legal Division, Cayman Islands Monetary Authority
- **Mr Larry Boyce**, Senior Vice President, SB Regulatory Consulting Inc, Canada
- **Mr Brian Sapati**, Chairman, Financial Intelligence Centre Board, Ghana and form Head, Serious Fraud Office, Ghana
- **Colonel Mario Imparato**, Head, Guardia di Finanza, Province of Avellino, Italy
- **Professor Wassim Shahin**, Dean and Professor of Business Economics, Lebanese American University, Lebanon

PARALLEL PLENARY WORKSHOP IX

Professional Ethics – its role in stemming economic crime

- Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales and member of HM Treasury’s Money Laundering Advisory Committee, UK
- Ms Elizabeth Higgs**, Integrity and Law Manager, Technical Strategy Department, Institute of Chartered Accountants in England and Wales
- Professor Christopher Megone**, Professor of Inter-Disciplinary Applied Ethics, Director Inter-Disciplinary Ethics Applied, University of Leeds, UK
- Dr Li Xuebin**, Ministry of Justice, UK and former Public Security Bureau, People’s Republic of China
- Mr Kevin L Shepherd**, Partner, Venable LLP, USA
- Mr Duane Aslett**, Programme Leader, Forensic Accountancy, Faculty Economic and Management Services, North-West University, Australia

PARALLEL PLENARY WORKSHOP X

Directors and Officers Indemnity Insurance – a safety net or what?

- Professor Barry A.K Rider**, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK
- Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple
- Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- Dr Sharon Wong**, Researcher, Beijing University, People’s Republic of China
- Professor David Chaikin**, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General’s Department and the Commonwealth Secretariat
- Atty Carlos De Jesus**, Legal Consultant, Manila, The Philippines
- Dr Carlos Ianucci**, Partner, Deloitte SA, Argentina
- Professor Muhammad Islam**, Department of Economics, John Cook School of Business, Saint Louis University, USA
- Mr Richard Parlour**, Principal, Financial Markets Law International, UK
- Dr David Shoushuang Li**, Senior Partner, Dacheng Law Offices, People’s Republic of China

Coffee

11:00 Session VI: Stumbling blocks to greater collaboration between the public and private sectors in preventing and controlling serious financial crime and abuse

Chair: **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

- **Sir Gavin Lightman QC**, Consultant, Asserson & Co, former Judge of the Chancery Division, High Court of Justice of England and Wales and former Master Treasurer of Lincoln’s Inn, UK
- **Mr Vivian Robinson QC**, Partner, McGuireWoods LLP, London, former General Counsel, Serious Fraud Office of England, Wales and Northern Ireland and former Master Treasurer of the Inner Temple, UK
- **Professor Eisuke Nagatomo**, Waseda Graduate University, Japan, President and CEO, EN Associates Co. Ltd and former Managing Director and Chief Regulatory Officer, Tokyo Stock Exchange, Japan
- **Dr Justine Walker**, Director, Financial Crime (Sanctions and Bribery), British Bankers Association, UK
- **Mr David Clark**, Detective Superintendent, City of London Police, UK
- **Mr Chris Conroy**, Principal Deputy Chief, Major Crimes Bureau, New York County District Attorney’s Office, USA
- **Mr Martin Nessi**, Director, Centre for Judicial Investigations, Argentina
- **Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple
- **Professor Leonid Fituni**, Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland
- **Mr Robert Mazur**, President, Chase and Associates, USA
- **Dr Jorge Ferro**, Managing Partner, Deloitte SA, Argentina

- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau, Sweden
- **Dr Shazeeda Ali**, former Technical Advisor and Assistant Attorney General, Jamaica and Lecturer in Law, Norman Manley Law School, Jamaica
- **Mr Ian Mason**, Partner, Baker & McKenzie LLP, London, and former Head of the Wholesale Group, Enforcement Division, Financial Services Authority, UK

PARALLEL PLENARY WORKSHOP XI

Investigative Outcomes – The sanctions process

Mr Mark Gough, Deputy Head, Compliance Investigation, Siemens AG, Germany
Mr Stephen Young, Director of Investigations, Corporate Security & Investigations, Barclays, London
Mr Pieter Paul van Lelyveld, Head of Business Integrity, Shell International, The Netherlands
Ms Carolin Staehle, Head, Discipline and Integrity, Corporate Legal and Compliance, Siemens AG Germany

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 **Session VII: The limits to compliance**

Chair: **Mr George Staple QC**, Consultant and Master of the Bench of the Inner Temple and former Partner, Clifford Chance LLP and former Director of the Serious Fraud Office of England, Wales and Northern Ireland

- **Mr Joseph Myers**, Assistant General Counsel, International Monetary Fund, USA
- **Mr John Mair**, Office of the Chief Compliance Officer, The European Bank for Reconstruction and Development UK
- **Mr Wilmer Parker III**, Partner, Maloy Jenkins Parker, USA and formerly of the Justice Department, USA
- **Mr Michael Weis**, Director, PriceWaterhouseCoopers Sare, Luxembourg
- **Mr Mark Turkington**, Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia
- **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Professor Jimmy Gurule**, Professor of Law, Notre Dame Law School and former Under Secretary (Enforcement), Department of Treasury, USA
- **Mr Jason Haines**, AML Upstream Risk, RBS Group Compliance, UK
- **Ms Susan Galli**, Senior Vice President, AML Programme Director, HSBC North America Holdings Inc, USA
- **Mr Julian Korek**, Founding Partner Kinetic Partners LLP, UK
- **Professor Stuart Bazley**, Barrister, Consultant and Professor in Financial Law, BPP University College, London, UK
- **Ms Marty Rolle**, Partner, Bryan Cave LLP, UK
- **Ms Kelly Yip**, The Graduate Center, John Jay College of Criminal Justice, USA
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK
- **Professor Andrew Haynes**, Director, Institute of Financial Law, University of Wolverhampton, UK
- **Mr Neil Jeans**, Principal Consultant, AML Master, Australia
- **Mr Obot Udofia**, Assistant Director, AML/CFT Office, Financial Policy and Regulation Department, Central Bank of Nigeria
- **Mr Mark Sutherland**, Barrister at Law, Joseph W.Y. Yse, SCs Chambers, Hong Kong
- **Dr David Shoushuang Li**, Senior Partner, Dacheng Law Offices, People's Republic of China

PARALLEL PLENARY WORKSHOP XII

Deferred Prosecution Agreements

Ms Kristin Jones, Head of Strategic Relations, Policy, Assurance and International Relations, Serious Fraud Office of England, Wales and Northern Ireland

Mr Saul Froomkin QC, former Attorney General and Solicitor General of Bermuda and Director of Criminal Law, Federal Government of Canada

Mr David Fitzpatrick, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China

Mr John Reading SC, Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, China

Mr George Mills, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland
Ms Polly Sprenger, 18 Red Lion Court, former Special Advisor, Private Investigations Inquiry, Home Office Affairs Select Committee, UK and former Head of Strategic Intelligence, Serious Fraud Office of England, Wales and Northern Ireland

Ms Elizabeth Robertson, Partner K&L Gates LLP

Ms Laura Atherton, Associate, K&L Gates LLP

PLENARY WORKSHOP XIII

A thinner blue line – should the private sector be paying to fill the void?

Co-ordinator: Mr David Clark, Detective Superintendent, City of London Police, UK
Other speakers to be confirmed

PLENARY WORKSHOP XIV– 16:15-17:15

Discretionary Justice: An analysis of criminal prosecutions in the history of major financial crises

Professor Jim Fisher, Shaughnessy Fellow, Emerson Ethics Center, and Chair, Department of Marketing, John Cook School of Business, Saint Louis University, USA
Professor Muhammad Islam, Department of Economics, John Cook School of Business, Saint Louis University, USA
Professor Jim Gilsinan, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University, USA
Professor Jim Millar, Professor of Finance, University of Arkansas, USA
Professor Neil Seitz, Professor of Finance and formerly Dean of the John Cook School of Business, Saint Louis University, USA

PLENARY WORKSHOP XV– 16:15-17:15

Practical issues faced by financial institutions that suspect that they have been inveigled into criminal activity

Mr Dave Copley, Organised crime and money laundering investigator, UK and formerly of the Serious Organised Crime Agency, UK
Mr Richard Parlour, Principal, Financial Markets Law International, UK
Mr Sarabjit Singh, former Director General, Bureau of Police Research and Development, Indian Police Service, New Delhi India
Mr Mark Nuttall, Metropolitan Police, UK
Mr Neal Jeans, Principal Consultant, AML Master, Australia
Dr Anna Simonova, Faculty of Law, University of Copenhagen, Denmark
Colonel Mario Imparato, Head, Guardia di Finanza, Province of Avellino, Italy
Mr Philip Rutledge, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

PLENARY WORKSHOP XVI– 16:15-17:15

A ‘small’ fraud in a faraway country? How US\$16bn went missing from JSC BTA Bank Kazakhstan - a case study!

Mr John Howell, John Howell & Co Ltd, UK
Dr Nick Dove, John Howell & Co Ltd, UK
Mr Cary Kochberg, Partner, Hogan Lovells International LLP, London, UK
Mr Stephen Smith QC, New Square Chambers, London, UK
Mr Idil Oyman, Director, Portland Communications, London, UK
Mr Will Kenyon, Partner, PriceWaterhouseCoopers LLP, UK

WORKSHOP 9 – 17:30-18:30

Protecting investors in Islamic markets against fraud and abuse

Dr Lu’ayy Al-Rimawi, Consultant and Programme Leader in Islamic Finance Law and Lecturer in Law, BPP University College, London, UK and Visiting Fellow, Harvard Law School, USA
Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London, UK
Ms Sara Ashrafkashani, Attorney at Law and Legal Consultant in Iran and Researcher, Institute of Advanced Legal Studies, University of London
Ms Carolina Dias Costa, Researcher, Institute of Advanced Legal Studies, University of London

WORKSHOP 10– 17:30-18:30

The Compliant Bank: illusion, delusion or deterrent? Case studies from the front line

Mr Mark Turkington, Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia
Mr John Chevis, Australian Federal Police, Australia

<p align="center"><u>WORKSHOP 11 – 17:30-18:30</u></p> <p align="center">What is the role of the private sector in combating human trafficking?</p> <p>Mr Glynn Rankin, Director of Rankin and Kinsella Associates, Independent Human Trafficking Experts and former Director, Legal Services, UK Human Trafficking Centre, UK Government</p> <p>Dr Nancy Baldwin, Attorney at Law, Florida, USA</p> <p>Mr Graham Ritchie Judge of the First Tier Tribunal, Solicitor, UK</p> <p>Mr Arthur Middlemiss, Partner, Lewis, Baach, Kaufmann, and Middlemiss, former District Attorney, New York County District Attorney’s Office, USA</p>	<p align="center"><u>WORKSHOP 12 – 17:30-18:30</u></p> <p align="center">Digital currency and money laundering in the 21st Century</p> <p>Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA</p> <p>Mr Henry Rossbacher, Partner, The Rossbacher Firm, Los Angeles, USA</p> <p>Mr G. Monty Rankin, Attorney at Law, Florida, USA</p>
<p align="center"><u>WORKSHOP 13 – 17:30-18:30</u></p> <p align="center">U.S. Foreign Corrupt Practices Act enforcement and the guidelines recently issued jointly by the SEC and DOJ</p> <p>Mr Tom Newkirk, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA</p> <p>Mr Marcellus McRae, Partner, Gibson, Dunn & Crutcher LLP, USA</p>	<p align="center"><u>WORKSHOP 14 – 17:30-18:30</u></p> <p align="center">Practical issues in fighting corruption</p> <p>Mr Jesper Johnson, Anti-Corruption Advisor, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway and Centre for Development Studies, University of Cambridge</p> <p align="center">Other speakers to be confirmed</p>
<p align="center"><u>WORKSHOP 15 – 17:30-18:30</u></p> <p align="center">What to do with companies and their actors who break the law?</p> <p>Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA</p> <p>Mr Neil Jeans, Principal Consultant, AML Master, Australia</p> <p>Ms Joy Geary, Principal Consultant, AML Master Australia</p>	<p align="center"><u>WORKSHOP 16 – 17:30-18:30</u></p> <p align="center">Economic crime due diligence: are you asking the right questions?</p> <p>Mr Julian Korek, Founding Partner Kinetic Partners LLP, UK</p> <p>Mr Andrew Oldland QC, Partner, Michelmores Solicitors, UK</p> <p>Ms Emily Benson, Director, Financial Crime, Kinetic Partners LLP, UK</p>

19:00 **Cocktails** in the Marquee

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **The Rt Hon Sir Roger Toulson PC**, Justice (elect) of the Supreme Court of the United Kingdom and Honorary Fellow of Jesus College, Cambridge and former Chairman of the Law Commission of England and Wales and **Sir Anthony Hammond QC**, Standing Counsel to the General Synod of the Church of England and former H.M. Procurator General and Treasury Solicitor and Head of the United Kingdom Government Legal Service, introduced by **Mr David Fitzpatrick**, Barrister, England, Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China a vote of thanks proposed by **Ms Wendy Mead**, Deputy and Member of the Common Council of the City of London and former Sheriff of the City of London

Thursday, 5th September 2013

08:00 **Session VIII: Detection, whistleblowing and witness protection**

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, Recorder of the Crown Court of England and Wales, and Master of the Bench of the Inner Temple

- **Mr Brian Donald**, Chief of Staff, Office of the Director, Europol, The Netherlands
- **The Hon Justice Albert Kruger**, Judge of the Free State High Court, Republic of South Africa and Professor Extraordinarius, Faculty of Law, University of the Free State, Republic of South Africa
- **Mr Rodney Page**, Senior Managing Partner London and Washington, Bryan Cave LLP
- **Mr John Reading SC**, Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, China
- **Mr Thomas Sawyer**, Senior Litigation Counsel and Counsel for International Tax Matters, Tax Division, Department of Justice, USA
- **Dr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange, Malta
- **Dr Tiago Cintra Essado**, Public Prosecutor of the State of São Paulo and Deputy of the State General Prosecution Office, Brazil

- **Professor Ronald D. Francis**, Professorial Fellow in Governance and Ethics, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia
- **Judge Hu Qi Lin**, Former District Judge, Criminal Division, People’s Republic of China
- **Mr John Masters**, Barrister, Blackburn Chambers, Australia and former Senior Crown Counsel, Attorney General’s Chambers, Cayman Islands
- **Professor Andreas Kapardis**, Chairman, Law Department, University of Cyprus
- **Professor Maria Krambia-Kapardis**, Associate Professor of Accounting, Cyprus University of Technology
- **Mr Keith Oliver**, Senior Partner, Peters and Peters, London, UK
- **Professor Anona Armstrong**, Professor of Corporate Governance, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia
- **Mr Kennedy Talbot**, Barrister, 33 Chancery Lane, London, UK

PARALLEL PLENARY WORKSHOP XVII

Why are serious fraud and money laundering cases so difficult to prosecute – and why does it matter?

- Mr Kenneth Murray** Head of Forensic Accountancy, Scottish Crime and Drug Enforcement Agency, UK
Sir David Philips, former Chief Constable of Kent, Deputy Chief Constable of Devon and Cornwall and Assistant Chief Constable in charge of Operations, the Greater Manchester Police
Dr Shima Keene, Director, Security Economics Programme, Institute of Statecraft, UK
Ms Tessa Lorimer, Barrister and Crown Advocate, Crown Prosecution Service, UK

PARALLEL PLENARY WORKSHOP XVIII

The role of the private sector in the development and training of law enforcement

- Mr Stephen Strickland**, Head of Training, Economic Crime and Fraud Training Academy, Economic Crime Directorate, City of London Police, UK
Professor Chizu Nakajima, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP University College, London, UK
Mr William Tupman, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK and former Director of the Centre for Police and Criminal Justice Studies, University of Exeter
Dr Nick Ridley, Senior Lecturer, John Grieve Centre, London Metropolitan University and former Senior Analyst, Europol
Mr Jyoti Trehan, Inspector General of Police (retired), Indian Police Service and formerly ICPO-Interpol
Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
Dr Peter German, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada
Professor Johan Henning, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
Ms Kelly Yip, The Graduate Center, John Jay College of Criminal Justice, USA

Coffee

Session IX: Data Protection, Data sharing – in preventing and controlling the risk of financial crime and misconduct

Chair: **Sir Kenneth Warren**, Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK

- **Professor Ross Anderson**, Professor of Security Engineering, University of Cambridge, UK
- **Mr Tom Roche**, Deputy General Counsel and Senior Vice President, Federal Reserve Bank of New York, USA
- **Mr Philip Robinson**, Consultant, formerly Director of Financial Crime and Intelligence, Financial Services Authority and Non-Executive Director, Supreme Court of the United Kingdom
- **Mr John Webb**, Webb Sight Consultancy Ltd, UK
- **Dr Peter German**, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada
- **Dr Joachim Kaetzler**, Partner, CMS Hasche Sigle, Germany
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Peter O’Doherty**, Detective Chief Inspector, City of London Police, UK
- **Professor Wei Yee Wan**, Associate Professor, Singapore Management University, Singapore
- **Mr James Mews**, Director, Finance Industry Development, Chief Minister’s Department, States of Jersey
- **Dr Inez Dussuyer**, Research Fellow, Governance Research Programme, Victoria Law School, Victoria University and former Principal Investigation Officer, Ombudsman, Victoria, Australia
- **Mr Jason Haines**, AML Upstream Risk, RBS Group Compliance, UK
- **Mr Larry Boyce**, Senior Vice President, SB Regulatory Consulting Inc, Canada
- **Professor Stuart Bazley**, Barrister, Consultant and Professor in Financial Law, BPP University College,

London, UK

- **Dr Dionysios Demetis**, Head of AML/CTF, SYNTAX IT Inc., and Research Fellow, Geolab Institute, Ionian University, Greece
- **Mr G. Monty Rankin**, Attorney at Law, Florida, USA
- **Mr James Springer**, AML/CTF Advisor, JPS Consulting, former Resident Resident Financial Enforcement Advisor, Morocco, Namibia and Bulgaria, Office of Technical Assistance, US Department of Treasury, USA

PARALLEL PLENARY WORKSHOP XIX

Enforcement penalties for corporate criminal conduct

Ms Jane de Lozey, Head, Investment Fraud Division, Serious Fraud Office of England, Wales and Northern Ireland

Professor Muhammad Islam, Department of Economics, John Cook School of Business, Saint Louis University, USA

Ms Rosemary Donnabella, Senior Policy Lawyer, Serious Fraud Office of England, Wales and Northern Ireland

Mr John Masters, Barrister, Blackburn Chambers, Australia and former Senior Crown Counsel, Attorney General's Chambers, Cayman Islands

Professor Johan Henning, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

Professor Tom Hurst, Professor of Securities Laws, College of Law, University of Florida, USA

Mr Robin Dyer, Head of Compliance, Bermuda Commercial Bank Ltd, Bermuda

Ms Clair Drury, Barrister and Visiting Lecturer in Law, BPP University College, London, UK

13:00 Lunch in Hall and Upper Hall

14:00 **Session X: Anti-Corruption – the role of Governance and Audit**

Chair: **Mr John Drysdale**, Chairman, Transparency International, UK

- **The Hon Professor Valriy Dimitrov**, President of the National Audit Office, Bulgaria and Professor of Administration and Financial Law, University of Economics, Sofia, Bulgaria
- **Mr Khawar Qureshi QC**, Serle Court, London and McNair Chambers, Qatar
- **Mr Andrew Boye-Doe**, The Secretary and Director, Bank of Ghana, Ghana
- **Dr Dmitry Gololobov**, Senior Partner, Gololobov & Co, London, UK
- **Dr Thomas Spies**, Counsel and Senior Manager, Regulatory Service group, KPMG, Germany
- **Professor Cindy Schipani**, Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA
- **Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP University College, London, UK
- **Mr Mark Gough**, Deputy Head, Compliance Investigation, Siemens AG, Germany
- **Ms Suzanne Ffolkes Goldson**, Deputy Dean (Graduate Studies and External Affairs) Faculty of Law, University of the West Indies, Jamaica
- **Ms Sarah Mackie**, Bribery and Corruption Policy Lead, Organised and Financial Crime Unit, Home Office, UK
- **Professor Kiymet Tunca Caliyurt**, Faculty of Business Administration and Economics, Department of Accounting and Finance and Manager of Social Graduate School, Trakya University, Turkey
- **Mr Paul Barnard**, Detective Superintendent, City of London Police, UK
- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau, Sweden
- **Ms Anita Esslinger**, Partner, Bryan Cave LLP, London, UK
- **Mr George Mills**, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland
- **Professor Maria Krambia-Kapardis**, Associate Professor of Accounting, Cyprus University of Technology
- **Ms Arinta Luthri Handini**, Head of Team, Initial Investigation Department, The Indonesian Commission on Eradication of Corruption, Indonesia
- **Mr Frans van Proosdij**, Global Manager Compliance, Citco Banking Division. The Netherlands
- **Dr Siti Faridah**, Associate Professor of Law, National University of Malaysia

Tea

PLENARY WORKSHOP XX-16:15-17:15

2020 – fraud in the cashless society - the challenge presented by ‘Generation Z’

Co-ordinator: Mr Bob Wishart, Detective Superintendent, City of London Police, UK

Other speakers to be confirmed

PLENARY WORKSHOP XXI -16:15-17:15

Prosecuting Bribery and Corruption

Mr Matthew Wagstaff, Joint Head, Bribery and Corruption, Serious Fraud Office of England, Wales and Northern Ireland

Mr Saul Froomkin QC, Chairman of the Symposium

Dr Ye Feng, Secretary General, International Association of Anti-Corruption Authorities, Vice President, International Association of Prosecutors and Director General, Supreme People's Procuratorate of the People's Republic of China

The Hon Justice Olayinka Faji, Judge of the Federal High Court, Nigeria

Professor David Chaikin, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat

Mr John Reading SC, Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, China

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

Dr Peter German, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada

Mr George Mills, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland

PLENARY WORKSHOP XXII- 16:15 – 17:15

Designing and implementing effective procedures for the reduction and control of financial crime within financial institutions

Mr Nick Andrews, Partner, Mpac Consultancy LLP, UK

Mr David Artingstall, John Howell & Co, UK

Mr Steven Toscher, Partner, Hochman, Salkin, Rettig, Toscher & Perez, P.C. USA

The Rev Hugh Thomas, Head of Compliance, Conservative Party, UK, Consultant and Priest in Charge, The Guild Church of St. Margaret Pattens in the City of London, UK

Professor Stuart Bazley, Barrister, Consultant and Professor in Law, BPP University College, London, UK

Professor Eri Habu, Associate Professor of Law, Yamaguchi University, Japan

Mr Chris Stears, Researcher, Institute of Advanced Legal Studies, University of London

PLENARY WORKSHOP XXIII- 16:15-17:15

Practical problems in international co-operation

Mr John Masters, Barrister, Blackburn Chambers, Australia and former Senior Crown Counsel, Attorney General's Chambers, Cayman Islands

Mr Alan Bacarese, Special Counsel, Peters & Peters LLP, UK

Mr James Stenning, Managing Director, Stenning Associates, Cayman Islands

Mr Sam Koim, Chairman, PNG Investigation Task-Force Sweep, Papua New Guinea

Mr Jeffrey Bryant, Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service for England and Wales

Mr Alan Lambert, Magistrate, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office, UK

WORKSHOP 17 – 17:30-18:30

The role and responsibility of credit rating agencies in promoting soundness and integrity

Dr Bian Jing, Consultant and formerly of the Justice Department, People's Republic of China

Professor Johan Henning, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

Mr Henry Rossbacher, Partner, The Rossbacher Firm, Los Angeles, USA

Mr Philip Rutledge, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

Dr Graeme Baber, Senior Lecturer in Law, BPP University College, London, UK

WORKSHOP 18 – 17:30-18:30

Responsibility of Shari'ah Councils to promote integrity and stewardship and their role in auditing compliance

Assoc. Prof. Dr. Asyraf Wajdi Dusuki, Head, Research Affairs Department, International Shari'ah Research Academy for Islamic Finance (ISRA), Malaysia

Dr Siti Faridah, Associate Professor in Law, National University of Malaysia

Professor Simon Archer, ICMA Centre, Henley Business School University of Reading, UK and Adjunct Professor, INCEIF, Kuala Lumpur, Malaysia

Mr Salim Al-Ali, Researcher, Institute of Advanced Legal Studies, University of London, UK and the University of the United Arab Emirates, Abu Dhabi

Mr Jerome Chan, Lecturer in law, University of Hertford and Researcher, Institute of Advanced Legal Studies

<p align="center"><u>WORKSHOP 19 – 17:30-18:30</u></p> <p>Human Security in high risk places and situations</p> <p>Professor Chizu Nakajima, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP University College, London, UK</p> <p>Professor Yasunobu Sato, Professor of Law and Director of the Human Security Programme, University of Tokyo, Japan</p> <p>Professor Wesley Harry, University of Chester, UK</p> <p>Mr Kiichi Ushijima, Manager, CSR Promotion Department, CSR Division, Hitachi Ltd, Japan</p> <p>Dr Emmanuel Adegbite, Lecturer, Durham Business School, University of Durham, UK</p> <p>Mr Masao Seki, Associate Director, Chief of CSR, Sampo Japan Insurance, Inc and Deputy-Chief Director, Sampo Japan Environment Foundation</p>	<p align="center"><u>WORKSHOP 20 – 17:30-18:30</u></p> <p align="center">‘Whistle Blowing’</p> <p>Professor Anona Armstrong, Professor of Corporate Governance, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia</p> <p>Professor Ronald D. Francis, Professorial Fellow in Governance and Ethics, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia</p> <p>Dr Inez Dussyer, Research Fellow, Governance Research Programme, Victoria Law School, Victoria University and former Principal Investigation Officer, Ombudsman Victoria, Australia</p>
<p align="center"><u>WORKSHOP 21 – 17:30-18:30</u></p> <p align="center">Specialised workshop organised by the Proceeds of Crime Lawyers Association (POCLA)</p> <p align="center">Chaired by Mr Andrew Mitchell QC, Chairman of POCLA</p> <p align="center">Other speakers to be confirmed</p>	<p align="center"><u>WORKSHOP 22 – 17:30-18:30</u></p> <p align="center">The struggle between privacy and Anti-Money Laundering control</p> <p>Dr Gus Hosein, Executive Director, Privacy International</p> <p>Dr Dionysios S. Demetis, Head of AML/CTF, SYNTAX IT Inc., and Research Fellow, Geolab Institute, Ionian University, Greece</p>
<p align="center"><u>WORKSHOP 23 – 17:30-18:30</u></p> <p align="center">When is it right to act unethically?</p> <p>Dr Denis Osborne, Consultant in Governance, Development and Training and former HM High Commissioner to Malawi</p>	<p align="center"><u>WORKSHOP 24 – 17:30-18:30</u></p> <p align="center">Proactive policing and sharing information across boarders</p> <p>Mr Mike Dixon, Researcher, Anglia Ruskin University, Cambridge and former Detective Inspector Metropolitan Police London</p>

19:00 **Cocktails** in the Marquee
Dinner in Hall and Upper Hall, Jesus College

Addresses by **HE Mr Keiichi Hayashi**, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James and **The Rt Hon Lord Justice Rupert Jackson PC**, Lord Justice of Appeal, England and Wales and Honorary Fellow of Jesus College, Cambridge introduced by **Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP University College, London, UK with a vote of thanks proposed by **Professor Peter Crisp**, Dean of Law and Chief Executive, BPP University College, London, UK

Friday, 6th September 2013

08:00 **Session XI: The role of private parties in the enforcement of law and the protection of the economy**
Chair: **Lord Hacking of Chorley**, Barrister, Littleton Chambers, London, UK

- **Mr El Cid Butuyan**, Senior Litigation Specialist (Integrity), the World Bank, Washington DC and former Wasserstein Fellow for Public Interest Law, Harvard University, USA
- **Professor David Chaikin**, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General’s Department and the Commonwealth Secretariat
- **Mr Stephen Ball**, Partner, KPMG LLP, London, UK
- **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Ms Wendy Tien**, Deputy Assistant Director, Office of Review and Oversight, former Trial Attorney, Civil Division, US Department of Justice, Executive Office for the United States Trustees, USA
- **Mr Paul Hauser**, Partner, Bryan Cave LLP, UK
- **Mr Paul Gully-Hart**, Partner, Schellenberg Wittmer, Switzerland
- **Mr Alan Bacarese**, Special Counsel, Peters & Peters LLP, UK
- **Mr Peter Gray**, Partner, Gibson Dunn and Crutcher LLP, Dubai
- **Dr Joachim Kaetzler**, Partner, CMS Hasche Sigle, Germany
- **Professor John Breslin SC**, Barrister, Dublin, Ireland
- **Mrs Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Minister Counsellor, Bulgarian Embassy, London

- **Dr Alessandro Napolitano**, Legal Director, SACE S.P.A, Italy
- **Mr Andrés Carriquiry**, Compliance Officer, Banco de la Republica Oirental del Uruguay, Uruguay
- **Dr Rita Cheung**, Assistant Professor, Faculty of Law, Chinese University of Hong Kong
- **Professor Thomas Hurst**, S.T. Dell Professor of Law, University of Florida, Levin College of Law, USA
- **Dr George Demetriades**, Advocate Partner Andreas Chr. Demetriades LLC, Cyprus

PARALLEL PLENARY WORKSHOP XXIV

How can data sharing transform the fight against economic crime?

Mr Trevor Bedeman, Partner, London Risk, UK

Mr Mike Haley, Head of Counter Fraud and Loss, Ministry of Defence, UK

Mr Istvan Lengyel, Secretary General, Banking Association for Central and Eastern Europe, Hungary

Mr Sven Kilhlgren, Head, Special Enforcement, Ekobrottsmyndigheten (Economic Crime Authority), Sweden

Mr David Clark, Detective Superintendent, City of London Police, UK

Ms Anne Green, Head of Global Fraud, Aviva, UK

Coffee

Session XII: Theft of Identity and Impersonation – who are you dealing with?

Chair: **Sir Ivan Lawrence QC**, 5 Pump Court, London and Visiting Professor of Law, University of Buckingham, UK, Master of the Bench of the Inner Temple, former Member of Parliament and Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales

- **Mr Yehuda Shaffer**, Deputy State Attorney (Financial Crime), Israel
- **Ms Koko Ives**, Senior Supervisory Financial Analyst, BSA/AML Compliance Section, Board of Governors of the Federal Reserve System, USA
- **Mr Jeffrey Bryant**, Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service for England and Wales
- **Dr Li Xuebin**, Ministry of Justice, UK and former Public Security Bureau, People’s Republic of China
- **Mr Alex Fisher**, Fraud Prevention and Strategy Manager, CIFAS – The UK’s Fraud Prevention Service, UK
- **Mr David Artingstall**, John Howell & Co, UK
- **Professor Takeyoshi Imai**, Professor of Criminal Law, Hosei Graduate Law School, Tokyo and Advisor to the Ministry of Justice, Japan
- **Mr Robert Henoch**, Partner, Kobre & Kim LLP, London, UK and formerly Office of the District Attorney of New York, USA
- **Dr Anton Keller**, The Secretary, Swiss Investors Protection Association, Switzerland
- **The Hon Judge Abdulai Sheikh Fofanah**, Judicial Service, Sierra Leone
- **Mr Fredrik Mambu**, former investigator, National Security Council, Jakarta, Indonesia
- **Dr Teng Na**, Lecturer in Economic Crime Investigation, Chinese Criminal Police University, Shenyang, People’s Republic of China
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK

PARALLEL PLENARY WORKSHOP XXV

Fiduciary standard in the financial services industry – a panacea of delusion?

Professor Barry A.K Rider, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK

Mr Philip Rutledge, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

Professor Jim Fisher, Shaughnessy Fellow, Emerson Ethics Center, and Chair, Department of Marketing, John Cook School of Business, Saint Louis University, USA

Mr Michael Ashe QC SC, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK

Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

Dr Iris Chu, Reader in Law Faculty of Law, University College London, UK

Dr Shirley Quo, Senior Lecturer, Law School, Faculty of Business and Law, Auckland University of Technology, New Zealand

Professor Stuart Bazley, Barrister, Consultant and Professor in Financial Regulation and Law, BPP University College, London, UK

Mr Chris Stears, Researcher, Institute of Advanced Legal Studies, University of London, UK

13:00 Lunch in Hall and Upper Hall

14:00 **Session XIII: Exposing abuse - the role of the media and public interest groups**

Chair: **Hon John Maher III CPA**, Member and Speaker pro tempore, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA

- **Mr Chris Blackhurst**, Editor, The Independent, UK
- **Professor Paul Larsson**, Professor, Police University College, Oslo, Norway

- **Mr Stephen Grey**, Special Correspondent, Reuters Enterprise Team
- **Professor Virginia Maurer**, Hubert Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Mr Richard Brooks**, Private Eye, UK
- **Ms Polly Sprenger**, 18 Red Lion Court, former Special Advisor, Private Investigations Inquiry, Home Office Affairs Select Committee, UK and former Head of Strategic Intelligence, Serious Fraud Office
- **Mr Michael Ricks**, Managing Director, Enquire International Ltd, UK
- **Mr Nigel Dudley**, Journalist and Editor of Quantum, UK and Qatar
- **Ms Margarita de Tablante**, Journalist, Venezuela
- **Dr Lu'ayy al Rimawi**, Visiting Fellow, Harvard Law School, USA
- **Mr Robert Palmer**, Global Witness
- **Professor Yasunobu Sato**, Professor of Law and Director of the Human Security Programme, University of Tokyo, Japan
- **Mr Navin Beekarry**, Associate Fellow, Center for Law, Economics & Finance (C-LEAF), George Washington University Law School, USA and former Chairman, Anti-Corruption Commission, Mauritius
- **Dr Luís Fernando de Moraes Manzano**, Public Prosecutor of the State of São Paulo and Legal Counsellor to the State General Prosecution Office, Brazil
- **Mr Nick Kochan**, Journalist, London, UK
- **Mr David Allen Green**, Counsel, Preiskel & Co LLP, UK
- **Ms Elena Egawhary**, Kroll, UK and former Investigative Journalist, BBC, UK

PARALLEL PLENARY WORKSHOP XXVI

Control of Corruption in China

Professor Qiu Ge-ping, School of Criminal Justice, East China University of Political Science and Law, People's Republic of China

Dr Wang Xiaojing, Lecturer, Shanghai University of Political Science and Law, People's Republic of China

Dr Li Xuebin, Ministry of Justice, UK and former Public Security Bureau, People's Republic of China

Dr Bian Jing, Consultant and formerly of the Justice Department, People's Republic of China

Ms Li-Hong Xing, Programme Director in Chinese Law and Lecturer in Law, BPP University College, London, UK

Mr Peng Wang, Researcher, School of Law, King's College, London

Tea

PLENARY WORKSHOP XXVII

Disabling fraudsters in society- the ethical challenges of data sharing

Mr Oliver Shaw, Detective Chief Superintendent, City of London Police, UK

Other speakers to be confirmed

PLENARY WORKSHOP XXVIII

Drug Wars - The driving force behind 'Banks Too Big to Jail'

Police Lieutenant Jack Cole, Board Chair, LEAP and former undercover agent, New Jersey State Police, USA

Dr Romesh Bhattharjji, former Narcotics Commissioner for India, New Delhi, India

Ms. Annie Machon, Consultant and former intelligence officer in the UK Domestic Security Service, MI5

PLENARY WORKSHOP XXIX

Multi-faceted and multi-agency approaches to criminal asset confiscation – examples from Canada, Ireland, the UK and Australia

Mr Mike Phelan, Deputy Commissioner, Australian Federal Police, Australia

Mr David Gray, Manager, Proceeds of Crime Litigation, Australian Federal Police, Australia

Mr Eugene Corcoran, Detective Chief Superintendent, Chief Bureau Office Criminal Assets Bureau An Garda Síochána Ireland

WORKSHOP 25 – 17:30-18:30

The Strategic Implications of Corruption

Mr John McFarlane, Adjunct Fellow, ARC Centre of Excellence in Policing and Security (CEPS), College of Asia and the Pacific, Australian National University, Australia

WORKSHOP 26 – 17:30-18:30

Unlocking the potential of big data to combat economic crime

Mr Justin Anderson, CEO, Flexeye Technology Ltd, UK

Mr Richard Saville, Flexeye Technology Ltd, UK

Mr Lynwood Bell, Managing Director, Anguilla-Counts Inc. Anguilla and Director of Flexeye Technology Corp, British West Indies

<p align="center"><u>WORKSHOP 27 – 17:30-18:30</u></p> <p align="center">The role of the civil law and compliance in policing market abuse in the financial sector</p> <p>Professor Stuart Bazley, Barrister, Consultant and Professor in Financial Regulation and Law, BPP University College, London, UK</p> <p>Mr Michael Ashe QC SC, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK</p> <p>Mr Chris Stears, Researcher, Institute of Advanced Legal Studies, University of London</p> <p>Ms Clair Drury, Barrister and Visiting Lecturer in Law, BPP University College, London, UK</p> <p>Ms Ye Zhen, Researcher, Centre of Development Studies, University of Cambridge, UK</p>	<p align="center"><u>WORKSHOP 28 – 17:30-18:30</u></p> <p align="center">Fighting crime in a sustainable way: An Italian perspective</p> <p>Professor Antonello Miranda, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy</p> <p>The Hon Dr Fabio Licata, Judge at the Court of Palermo, Special Section for Anti-Mafia Preventive Measures, Part Time Professor of Criminal Law at the University of Palermo, Italy</p> <p>Professor Alessandra Pera, Professor of Comparative Law, University of Palermo, Department of European Studies and International Integration, Italy</p> <p>Professor Emanuele Nicosia, Assistant Professor in Criminal Law, University of Palermo, Italy</p>
<p align="center"><u>WORKSHOP 29 – 17:30-18:30</u></p> <p align="center">Balancing the policing of international trade against the risk of harm to innocents: is educating the business community an answer?</p> <p>Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA</p> <p>Mr Robert Targ, Member of the Board of Directors, Florida International Banking Association and Partner, Diaz Reus & Targ LLP, Miami, USA</p>	<p align="center"><u>WORKSHOP 30 – 17:30-18:30</u></p> <p align="center">Moving towards an ISO-type standard for AML: Best Practice and Governance</p> <p>Professor Stavros Katsios, Vice Rector, Ionian University, Greece</p> <p>Mr Bernard Dyer, formerly Research Fellow, London School of Economics</p> <p>Dr Dionysios S. Demetis, Head of AML/CTF, SYNTAX IT Inc., and Research Fellow, Geolab Institute, Ionian University, Greece</p>
<p align="center"><u>WORKSHOP 31 – 17:30-18:30</u></p> <p align="center">Trade mis-pricing – the implications for us all</p> <p>Professor Keejae Hong, Assistant Professor of Accounting, Belk College of Business, University of North Carolina at Charlotte</p> <p>Dr Cabrini H. Pak, Research Consultant, Trade Research Institute, Inc.</p> <p>Professor Simon Pak, Associate Professor of Finance, School of Graduate Professional Studies, The Pennsylvania State University</p>	<p align="center"><u>WORKSHOP 32 – 17:30-18:30</u></p> <p align="center">Staff Fraud</p> <p>Mr Arjun Medhi, Operations Manager, CIFAS, UK</p> <p>Mr Ainsley Radgman, Internal Fraud Director, Barclaycard, UK</p> <p>Dr Mark Button, Director of Counter Fraud Studies, University of Portsmouth</p> <p>Mr Eyal Ben Cohen, CEO, Verifile, UK</p>

19:00 **Cocktails** in the Marquee

Dinner in Hall and Upper Hall, Jesus College

Addresses by **Rt Hon Lord Justice Mummery PC, DL**, Lord Justice of Appeal, England and Wales, President of the Intelligence Services Tribunal and the Investigating Powers Tribunal and Honorary Fellow of Pembroke College, Oxford, UK and **Sir Kenneth Warren**, Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK introduced by **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigation Unit, Office of the Special Narcotics Prosecutor, City of New York, USA with a vote of thanks proposed by **Mr Anthony Fiducia**, Partner, Bryan Cave LLP, London, UK

Saturday, 7th September 2013

8:00 **Session XIV: Intelligence led policing and disruption of criminal enterprises – collateral issues**

Chair: **Mr Oliver Sells QC**, 5 Paper Buildings, Master of the Bench of the Inner Temple and Recorder of the Crown Court, England and Wales

- **Mr Stefan Cassella**, Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
- **Mr David Bickford**, Consultant and former Legal Advisor to the Secret Intelligence Service (MI6) and Secret Service (MI5), UK
- **Ms Julie McFarlane**, Detective Superintendent, City of London Police, UK
- **Mr Philip de Koster**, Deputy Director, Belgium Financial Intelligence Processing Unit CTIF-CFI, Belgium
- **Mr Marcel Philippe**, Assistant District Attorney and Senior Investigative Counsel, Money Laundering and Forfeiture Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China
- **Mr Calvin Wilson**, Executive Director, Caribbean Financial Action Task Force (CFATF), Trinidad

- **Mr Frederic Raffray**, Crown Advocate, Guernsey
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Julio Aguirre**, Senior Vice President, Head of Compliance, Banco Latinoamericano de Comercio Exterior, S.A. and Vice-Chairman of the AML/CFT Committee, Latin American Banking Federation, Panama
- **Dr Hwang Shi Li**, Consultant, China Century and form Public Security Bureau, People's Republic of China
- **Ms Julie Beesley**, Group Head of AML/CTF and Sanctions, Westpac Bank, Australia
- **Dr Shima Keene**, Director, Security Economics Programme, Institute of Statecraft, UK
- **Dr Nicholas Ryder**, Head, Commercial Law Research Unit, University of the West of England, UK
- **Mr Robert Targ**, Member of the Board of Directors, Florida International Banking Association and Partner, Diaz Reus & Targ LLP, Miami, USA
- **Dr Emmanuel Ioannides**, Jurist (Athens) and former Adviser to the Ministry of Finance, Greece

PARALLEL PLENARY WORKSHOP XXX

The Flip Side – Defending the innocent – and getting the balance right!

Mr Saul M Froomkin QC, Symposium Chairman

Mr Peter Doyle QC, Barrister, 25 Bedford Row, UK

Mr Stephen Gentle – Partner, Kingsley Napley LLP, UK

Mr Simon Pentol, Barrister, 25 Bedford Row,

Mr Nathaniel Rudolf, Barrister, 25 Bedford Row

Ms Samantha Riggs, Barrister, 25 Bedford Row

Ms Kathryn Arnot Drummond, Barrister, 25 Bedford Row, London, UK

Coffee

Session XV (a): The future role for non-traditional law enforcement and the role of the private sector

Chair: **Mr Anthony Eskenzi**, Senior Member of the Police Authority for the City of London, Deputy and Member of Common Council, City of London and former Sheriff and Chief Commoner of the City of London

- **Ms Meryl Lutsky**, Chief, Money Laundering Unit, New York State Attorney General's Office, USA
- **Professor Antonello Miranda**, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy
- **Professor David Chaikin**, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat
- **Mr Istvan Lengyel**, Secretary General, Banking Association for Central and Eastern Europe, Hungary
- **Mr Peter O'Doherty**, Detective Chief Inspector, City of London Police, UK
- **Professor Michelle Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Dr Nick Ridley**, Senior Lecturer, John Grieve Centre, London Metropolitan University and former Senior Analyst, Europol
- **Ms Carol van Cleef**, Partner, Patten Boggs LLP, USA
- **Dr Oliver Wunsch**, Strategic Services, Swiss Financial Market Supervisory Authority, Switzerland
- **Professor Dalvinder Singh**, Professor of Law, School of Law, Warwick University, UK

Lunch

Session XV(b) – continued

Chair: **Mr Patrick Maddams**, Sub-Treasurer, The Honourable Society of the Inner Temple, London, UK

- **Mr Nicholas Walmsley**, Director, Head of Compliance and Risk Culture Training, Deutsche Bank AG, London, UK
- **Professor Janusz Bojarski**, Professor of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
- **Dr Nurset Cetin**, Attorney at Law, Deputy of Legal Affairs and Head of Institutional Relations Group, Capital Markets Board of Turkey
- **Mr Glyn Powell**, Counsel, Jones Day, UK
- **Mr Jyoti Trehan**, Inspector General of Police (retired), Indian Police Service
- **Mr John McFarlane**, Adjunct Fellow, ARC Centre of Excellence in Policing and Security (CEPS), College of Asia and the Pacific, Australian National University, Australia
- **Lieutenant Jack Cole**, Board Chair, LEAP and former undercover agent, New Jersey State Police, USA
- **Mr Jeffrey Avina**, Director, Citizenship and Community Affairs, Microsoft Middle East and Africa
- **Dr Shirley Quo**, Senior Lecturer, Law School, Faculty of Business and Law, Auckland University of Technology, New Zealand

Closing Addresses

Chair: **Professor Barry A.K Rider**, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK

- **The Hon Justice Asoka de Silva**, Senior Advisor to the President of Sri Lanka and former Chief Justice of Sri Lanka
- **The Hon Dr Christopher Malcolm**, Attorney General, British Virgin Islands
- **The Hon Mr Theodore Huckle QC**, HM Counsel General for Wales
- **The Hon Judge S.P.B. Hancke**, Judge of the High Court of South Africa, and Professor Extraordinarius, Faculty of Law, University of the Free State, Bloemfontein, South Africa
- **The Hon Justice Adewale Abiru**, Court of Appeal, Nigeria
- **Professor John Maher**, Sometime Dean of Dickinson Law School, Pennsylvania State University and former Commissioner of the Pennsylvania Securities Commission, USA
- **Professor Peter Nolan**, The Chong Hua Professor in Chinese Development; Director of the Centre of Development Studies, Director of the Chinese Executive Leadership Programme, University of Cambridge and Professorial Fellow, Jesus College, Cambridge
- **Mr Philip Robinson**, Consultant, formerly Director of Financial Crime and Intelligence, Financial Services Authority and Non-Executive Director, Supreme Court of the United Kingdom
- **Mr Hassan Issa Sultan**, State Inspector General of Djibouti, Office of the State Inspector General, UEA
- **Mr P Mukundan**, Director, Commercial Crimes Services, International Chamber of Commerce, UK
- **Dr Scott Helfstein**, Director of Research, Combating Terrorism Center, Department of Social Sciences, United States Military Academy, West Point, USA
- **Mr David Howarth**, Reader in Law and Economics, University of Cambridge, Fellow of Clare College and Associate Fellow, Centre for Science and Policy, Judge Business School, University of Cambridge and former shadow Secretary of State for Justice for the Liberal Democrats
- **Datuk Simon Shim**, Senior Partner, M/S Sim Pang & Co, Malaysia
- **Dr Dennis J. Baker**, Senior Lecturer in Criminal Law School of Law, King's College London, UK

Closing Remarks

- **Professor Barry A.K Rider**, Professorial Fellow, Centre of Development Studies, University of Cambridge
- **Mr Saul M Froomkin QC**, Senior Litigation Counsel, ISIS Law Limited, Bermuda

18:30 Cocktails in the Marquee

19:45 Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Professor Ian White**, The Master of Jesus College and Deputy Vice Chancellor of the University of Cambridge, Van Eck Professor of Engineering and Head of the Photonic Research Group, University of Cambridge, **The Hon John Maher III**, Member and Speaker pro tempore, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA, **Mr Yan Haiting**, Vice Chairman and Chief Executive Officer in Europe, Agricultural Bank of China and former Chief Representative, The People's Bank of China in Europe and **Mr Saul Froomkin QC**, Chairman of the Symposium introduced by **Mr Michael Ashe QC SC**, 9 Stones Buildings, London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple with a vote of thanks proposed by **Professor Michael Waring**, Professorial Fellow, Jesus College, University of Cambridge

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, www.crimesymposium.org

Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, thirty-one years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University has recently celebrated its 800th anniversary. The University consisting of over 100 departments, faculties and schools is rated as the world's foremost research university. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation and network in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead PC, Lord of Appeal in Ordinary and Justice of the Supreme Court of the United Kingdom.

The International Association of Anti-Corruption Authorities

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

City of London Police

The City of London's Police are entrusted as the National Lead Force for fraud on behalf of the UK Police Service. They lead the police service fight against fraud and economic crime.

The Serious Fraud Office of England, Wales and Northern Ireland

The Serious Fraud Office is an independent government department, operating under the superintendence of the Attorney General. Its purpose is to protect society by investigating and, if appropriate, prosecuting those who commit serious or complex fraud, bribery and corruption and pursuing them and others for the proceeds of their crime. Its Director is David Green CB QC.

A complete list of all the organisations around the world supporting the annual Cambridge Symposium is to be found on page 2 of this programme

**For information on other CIDOEC programmes and
The Journal of Financial Crime and *The Journal of Money Laundering Control*,
Please contact**

Professor Barry A.K. Rider
Jesus College
Cambridge CB5 8BL, UK
Email: b.rider@jesus.cam.ac.uk

The 31st Cambridge International Symposium on Economic Crime

For further information, please contact

Mrs Angela Futter
Symposium Manager
Jesus College, Cambridge CB5 8BL, UK
Tel: +44 (0) 1223 872160
Fax: +44 (0) 1223 872160

**E-mail: info@crimesymposium.org
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