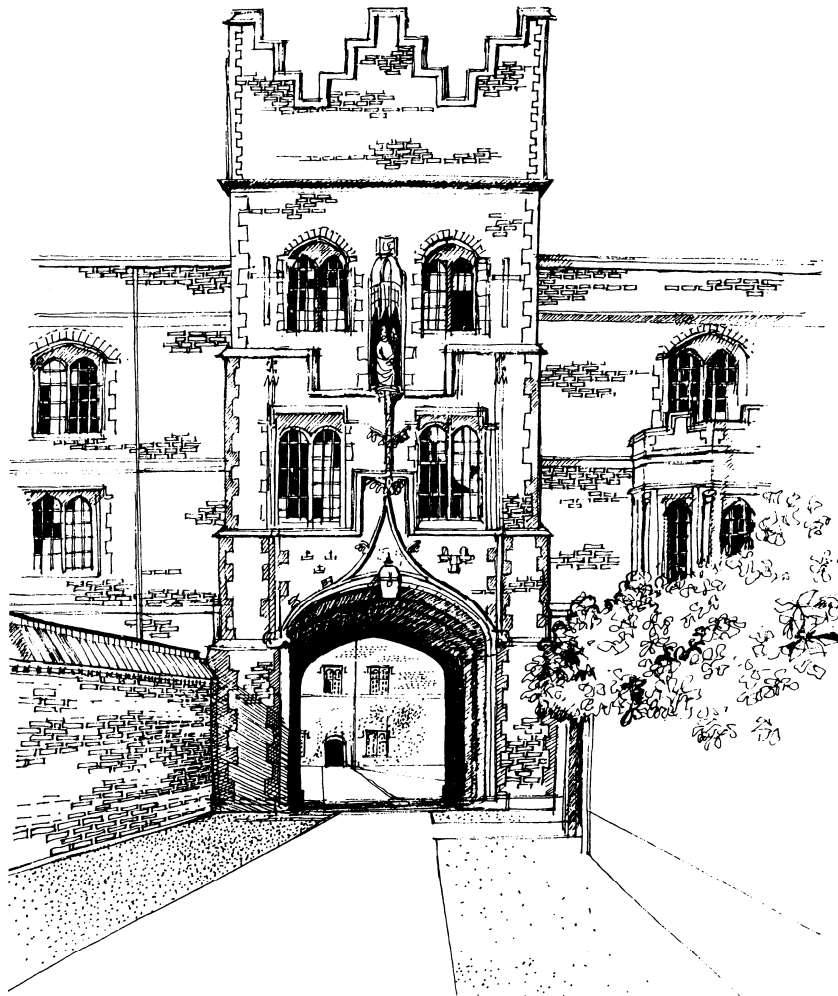


THIRTIETH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 2ND SEPTEMBER - SUNDAY 9TH SEPTEMBER 2012

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

Economic Crime - Surviving the Fall The Myths and Realities



CIDOEC



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UNIVERSITY OF CAMBRIDGE



The Organising Institutions

Centre of Development Studies, University of Cambridge
The Centre for International Documentation on Organised and Economic Crime
The Institute of Advanced Legal Studies, University of London
Cass Business School, City University, City of London
The Society for Advanced Legal Studies
International Association of Anti-Corruption Authorities
The International Chamber of Commerce
Centre for European and International Financial Law, University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
Nathanson Centre on Transnational Human Rights, Crime and Security, York University, Canada
IS Integrity Group, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
Saint Louis University, USA
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, University of Hong Kong
The Graduate School of Arts and Sciences, University of Tokyo
University of Cyprus
Argentine Federation of Judges
Faculty of Political Science, Department of European and International Studies,
Centre for European and Comparative Studies, University of Palermo
International Compliance Association

In association with: Jesus College, University of Cambridge

The 30th Cambridge International Symposium on Economic Crime

Surviving the fall – some myths and realities!

This year the Cambridge International Symposium on Economic Crime will celebrate its thirtieth year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that over the years it has enjoyed the support of so many institutions and experts around the world. It also attests to the deep concern of governments and financial and other institutions as to the extent of the risks to stability and security thrown up by economic crime and in particular organised crime. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding the real issues involved in preventing and controlling economically motivated serious crime. As a respected and trusted international forum and network it has also made an impressive and meaningful contribution to fostering international co-operation and promoting mutual understanding and goodwill.

The Thirtieth Symposium will focus on the particular issues and risks thrown up by the financial crisis from the perspectives of those who, in whatever capacity, are concerned to promote stability and protect the integrity of their institution, business or economy. The near collapse of the financial sector, particularly in the west, has given rise to fundamental changes in the architecture of supervision and the content of regulation. Indeed, in many countries new agencies are being brought into play while those that have to a greater or lesser degree been found deficient continue to function with an uncertain future. The opportunities for fraud, misconduct and the further abuse and undermining of financial institutions are today arguably unrivalled. The gatekeepers are either looking for new jobs or have yet to be given the keys! While this is particularly so in the United Kingdom sadly our experience is not unique.

The regulatory and enforcement environment has changed in many jurisdictions as a result of the financial crisis and the parameters of responsibility and therefore liability have become even less clear. Increasingly responsibility is placed on those in supervisory positions to 'insure' the integrity of subordinates and others. These obligations themselves create the potential for new forms of civil and administrative liability. All contribute as very real risks to reputation and thus, stability and sustainability.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. Last year the symposium attracted well over 1,600 participants from over 100 countries.

The Thirtieth Symposium will focus on the identification and control of real and current threats confronting the financial system and in particular financial institutions from those who engage in self-dealing, corrupt practices and fraud or who assist and facilitate the crimes of others by laundering criminal property or evade taxation. Such threats, however, are complex and manifest themselves at many different levels. For example, considerable emphasis is placed on the problems that confront those who operate in the financial world, primarily as a result of regulatory and enforcement actions designed to address specific criminal issues – such as the disruption of highly profitable crime. Our programme is designed by those working in enforcement, compliance and the financial sector with the deliberate intention of focussing on real and topical issues and providing, at a truly international level, if not answers – better strategies and greater co-operation. In the result the programme provides a unique opportunity at a very practical level, to share the experiences of over 350 specialist speakers and panellists in the prevention and control of risk to the integrity and stability of the financial system and those who operate within it.

The programme is structured to provide a depth and breadth of opportunity - second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of inter-action and collaboration that could only be encountered in an institution that has the highest world reputation for learning and research. The University of Cambridge collaborating with a host of distinguished institutions throughout the world offers a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It was established over thirty years ago to promote meaningful international co-operation – in the fight against financial crime. Its record is its best testimonial. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider
Founder, Director and Co-Chairman,
Jesus College, Cambridge

WORKSHOP 15 – 17:30-18:30

Destabilising Law Enforcement – the politics of change

Mr William Tupman, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK
Mr Andrew Hayman, former Chief Constable, Norfolk Constabulary and Assistant Commissioner for Specialist Operations, Metropolitan Police UK

WORKSHOP 16 – 17:30-18:30

How to address conflicts of interest and self-dealing – the practical way!

Mr Philip Rutledge, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
Dr Chizu Nakajima, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK
Dr Stuart Bazley, Barrister, Consultant and Lecturer in Law, BPP University College of Professional Studies, London, UK
Professor Barry A.K. Rider, President of the British Institute of Securities Laws and Director of LLM Programmes, BPP University College of Professional Studies, London, UK
Mr Richard Parlour, Principal, Financial Markets Law International, UK

19:00

Cocktails in the Marquee

generously hosted by **Bybel and Rutledge LLP, Jenner & Block LLP and Baker & Hostetler LLP**

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **HE Mr Anton Thalman**, Ambassador Extraordinary and Plenipotentiary of Switzerland to the Court of St James, **Mr Cyrus Vance**, The District Attorney of New York County (Manhattan), USA introduced by **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA with a vote of thanks proposed by **Mr Thomas Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA

Thursday, 6th September 2012

08:00

Session VIII: Detection, Whistleblowing and Witness Protection

Chair: **Mr George Staple QC**, Consultant and Master of the Bench of the Inner Temple and former Partner, Clifford Chance LLP, and Director of the Serious Fraud Office of England, Wales and Northern Ireland

- **Mr Tom Roche**, Deputy General Counsel and Senior Vice President, Federal Reserve Bank of New York, USA
- **The Hon Judge Antonio Balsamo**, Judge of the Court of Cassation, Italy and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and former Judge of the Court of Assizes, Palermo, Sicily, Italy
- **Mr Alun Milford**, Head, Organised Crime Division, Crown Prosecution Service, UK
- **Mr Oliver Sells QC**, Master of the Bench of the Inner Temple and Recorder of the Crown Court, England and Wales
- **Mr Yehuda Shaffer**, Deputy State Attorney (Financial Crime), Israel
- **Mr Sarabjit Singh**, former Director General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China
- **Mr Thomas Sawyer**, Senior Litigation Counsel and Counsel for International Tax Matters, Tax Division, Department of Justice, USA
- **Dr Li Xuebin**, National Probation Service, Ministry of Justice, UK and former Senior Official, Public Security Bureau, People's Republic of China
- **Professor Anona Armstrong**, Professor of Corporate Governance, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia
- **Mr Robert Mazur**, President, Chase and Associates, USA
- **Mr John McFarlane**, Adjunct Professor, Centre for Policing, Intelligence and Counter-Terrorism, Macquarie University, Australia
- **Mr Navin Beekarry**, Associate Fellow, Center for Law, Economics & Finance (C-LEAF), George Washington University Law School, USA and former Chairman, Anti-Corruption Commission, Mauritius
- **Mr Brian Sapati**, Chairman, Financial Intelligence Centre Board, Ghana and former Head, Serious Fraud Office, Ghana
- **Mr Jeffrey Davidson**, Partner, Crowe Clark Whitehill LLP, London, UK

Principal Organising Institutions

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-nine years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University has just celebrated its 800th anniversary. The University consisting of over 100 departments, faculties and schools has a deserved international reputation for excellence. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead PC, Lord of Appeal in Ordinary and Justice of the Supreme Court of the United Kingdom

The International Association of Anti-Corruption Authorities

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

A complete list of all the organisations around the world supporting the annual Cambridge Symposium is to be found on page 2 of this programme

**For information on other CIDOEC programmes and
The Journal of Financial Crime and *The Journal of Money Laundering Control*,
Please contact**

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