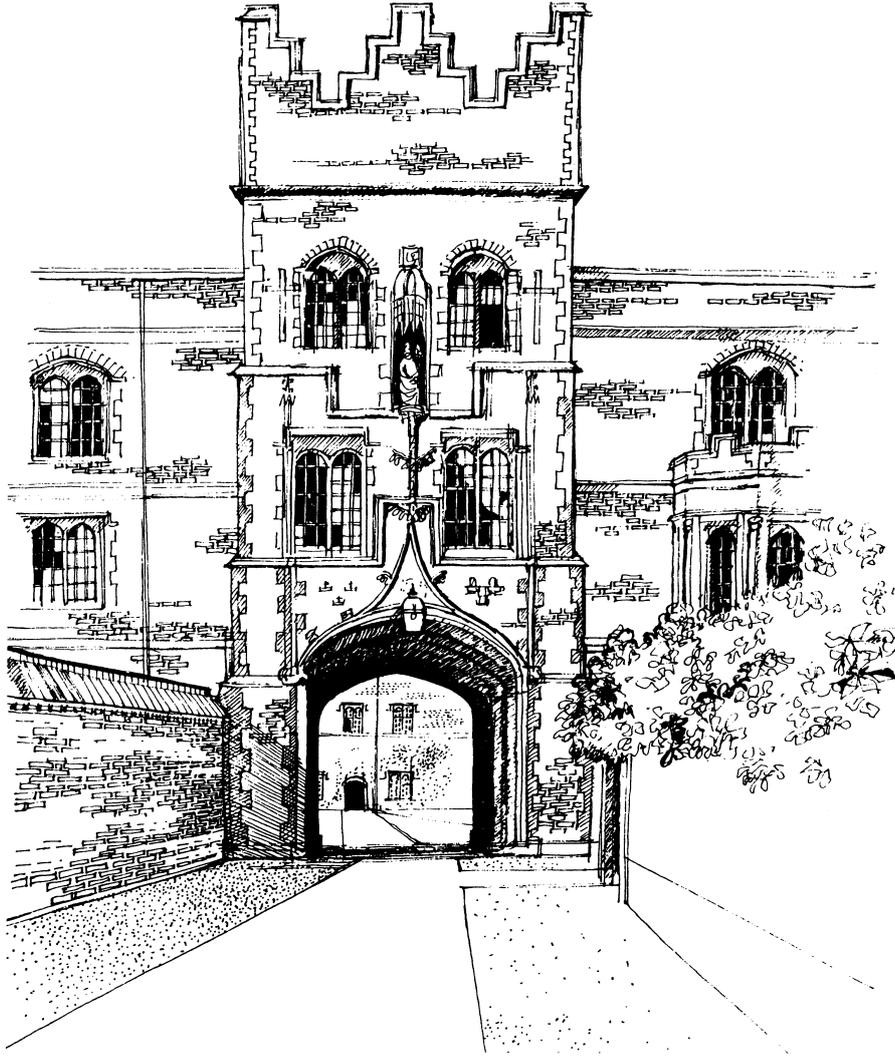


TWENTY-NINTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 4TH SEPTEMBER - SUNDAY 11TH SEPTEMBER 2011

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

Responsibility for Risk



CIDOEC



SFO

serious
fraud
office



UNIVERSITY OF CAMBRIDGE



The 29th Cambridge International Symposium on Economic Crime

Responsibility for Risk – at the sharp end of fighting crime and terror

This year the Cambridge International Symposium on Economic Crime will celebrate its twenty-ninth year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that over the years it has enjoyed the support of so many institutions and experts around the world. It also attests to the deep concern of governments and financial and other institutions as to the extent of the risks to stability and security thrown up by economic crime and in particular organised crime. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding the real issues involved in preventing and controlling economically motivated serious crime. As a respected and trusted international forum and network it has also made an impressive and meaningful contribution to fostering international co-operation and promoting mutual understanding and goodwill.

The Twenty-Ninth symposium will focus primarily on the responsibility of those who look after other people's wealth, or who advise or oversee those who do, to identify and manage risk. In particular, we will concentrate on risks resulting from criminal and subversive activity and, perhaps perversely, those thrown up by laws and regulatory initiatives designed to attack criminal activity. The regulatory and enforcement environment has changed in many jurisdictions as a result of the financial crisis and the perimeters of responsibility and therefore liability have become even less clear. Increasingly responsibility is placed on those in supervisory positions to 'insure' the integrity of subordinates and others. These obligations themselves create the potential for new forms of civil and administrative liability. All contribute as very real risks to reputation and thus, stability and sustainability.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. Last year the symposium attracted well over 1,500 participants from over 95 countries.

The Twenty-Ninth Symposium will focus on the identification and control of real threats confronting the financial system and in particular financial institutions from those who engage in self-dealing, corrupt practices and fraud or who assist and facilitate the crimes of others by laundering criminal property or evade taxation. Such threats, however, are complex and manifest themselves at many different levels. For example, considerable emphasis is placed on the problems that confront those who operate in the financial world, primarily as result of regulatory and enforcement actions designed to address specific criminal issues – such as the disruption of highly profitable crime, including terrorism. Our programme is designed by those working in enforcement, compliance and the financial sector with the deliberate intention of focussing on real and topical issues and providing, at a truly international level, if not answers – better approaches and greater co-operation. In the result the programme provides a unique opportunity at a very practical level, to share the experiences of over 350 specialist speakers and panellists in the prevention and control of risk to the integrity and stability of the financial system and those who operate within it.

The programme is structured to provide a depth and breadth of opportunity - second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of inter-action and collaboration that could only be encountered in an institution that has the highest world reputation for learning and research. The University of Cambridge collaborating with a host of distinguished institutions throughout the world offers a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It was established twenty-nine years ago to promote meaningful international co-operation – in the fight against financial crime. Its record is its best testimonial. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider
Symposium Director and Co-Chairman,

Wednesday, 7th September 2011

11:00 Session VI: The Risk of Organised Crime

Chair: **Professor B.A.K Rider**, Director, Founder and Co-Chairman of the Symposium

- **Ms Jeanne Fleming**, Director, FINTRAC, Canada
- **Mr Timothy Leary**, BSA/AML Risk Section, Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System, USA
- **Mr Kennedy Talbot**, Barrister, 33 Chancery Lane, London, UK
- **Mr Gregory Coleman**, Special Agent, Federal Bureau of Investigation, USA
- **Mr Shigetoshi Ikeyama***, Director for International Co-operation, Financial Intelligence Centre, National Police Agency, Japan
- **Dr Leonid Fituni**, Director for Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Mr Joey Wang**, Deputy Director, International Operations Division, Investigation Bureau, Ministry of Justice, Taiwan
- **Ms Joy Geary**, Managing Director, AML Master Pty Ltd, Australia
- **Mr Sarabjit Singh**, former Director General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr John McFarlane**, Adjunct Professor, Centre for Policing, Intelligence and Counter-Terrorism, Macquarie University, Australia
- **Colonel Grant Newsham**, Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd
- **Mr Robert Mazur**, President, Chase and Associates, USA
- **Mr Daniel Alonso**, Chief Assistant, New York County District Attorney's Office, USA

Principal Organising Institutions

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-nine years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University has just celebrated its 800th anniversary. The University consisting of over 100 departments, faculties and schools has a deserved international reputation for excellence. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead, Lord of Appeal in Ordinary and Justice of the Supreme Court of the United Kingdom

The International Association of Anti-Corruption Authorities

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

A complete list of all the organisations around the world supporting the annual Cambridge Symposium are:

The Organising Institutions

The Centre for International Documentation on Organised and Economic Crime
The Institute of Advanced Legal Studies, University of London
Cass Business School, City University, City of London
The Society for Advanced Legal Studies
International Association of Anti-Corruption Authorities
The International Chamber of Commerce
Centre for European and International Financial Law, University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Canada
IS Integrity Group, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
Saint Louis University, USA
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, University of Hong Kong
Development Studies, University of Cambridge
The Graduate School of Arts and Sciences, University of Tokyo
University of Cyprus
Argentine Federation of Judges
Faculty of Political Science, Department of European and International Studies,
Centre for European and Comparative Studies, University of Palermo
International Compliance Association

In association with: Jesus College, University of Cambridge

**For information on other CIDOEC programmes and
The Journal of Financial Crime and The Journal of Money Laundering Control,
Please contact**

Professor Barry A.K. Rider
Jesus College
Cambridge CB5 8BL, UK
Email: b.rider@jesus.cam.ac.uk